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(71) Applicant: ARCH DEVELOPMENT CORPORATION [US/US]; 1101 East 58th Street, The University of Chicago, Chicago, IL 60637 (US).

(72) Inventors: ROLZMAN, Bernard; 5555 S. Everett, Chicago, IL 60637 (US). CHOU, Joany; 5227 S. Kimbark, Chicago, IL 60615 (US).

(74) Agents: NORTHRUP, Thomas, E. et al.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).

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(54) Title: METHODS AND COMPOSITIONS FOR GENE, TUMOR, AND VIRAL INFECTION THERAPY, AND PRE-VENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)

#### (57) Abstract

The present invention relates to methods of treatment of programmed cell death (apoptosis) through the use of the HSV-1 gene  $\gamma_1$ 34.5 or the product of its expression, ICP34.5. The gene and its expression have been demonstrated to be required for HSV-1 neurovirulence, and in particular, to act as an inhibitor of neuronal programmed cell death which allows for viral replication. Use of the gene therapy, or the protein itself, can be expected to result in inhibition of programmed cell death in various neurodegenerative diseases. This invention also relates to novel vectors for gene therapy, including modified herpes virus. Methods are presented for conducting assays for substances capable of mimicing, potentiating or inhibiting the expression of  $\gamma_1$ 34.5 or the activity of ICP34.5. Also, methods are disclosed for the treatment of tumorogenic diseases, including cancer, and for treatment of herpes and other viral infections using inhibitors of  $\gamma_1$ 34.5 expression or ICP34.5 activity.

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METHODS AND COMPOSITIONS FOR GENE, TUMOR, AND VIRAL INFECTION THERAPY, AND PREVENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)

#### BACKGROUND OF THE INVENTION

The government may own certain rights in the present invention pursuant to grants from the National Cancer Institute (CA47451) and from the National Institute for Allergy and Infectious Diseases (AI24009 and AI1588), and the United States Public Health Service.

## 1. Pield of the Invention

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The present invention is directed to methods for blocking or delaying programmed cell death, for delivery of gene therapy to specific cells, and for treatment of cancer and other tumorgenic diseases, as well as treatment of viral infections, through the potentiation of programmed cell death in tumor or viral host cells. The present invention is also directed to assays for candidate substances which can either inhibit, or potentiate programmed cell death.

## 2. <u>Description of the Related Art</u>

#### a. <u>Programmed Cell Death (Apoptosis)</u>

In the last decade there has been increasing acceptance in the scientific community of the idea that 20 cells may actually be internally programmed to die at a certain point in their life cycle. As an active cellular mechanism programmed cell death, or apoptosis, has several important implications. First, it is clear that such an 25 active process can provide additional means of regulating cell numbers as well as the biological activities of cells. Secondly, mutations or cellular events which potentiate apoptosis may result in premature cell death. Third, a form f cell death which is d pendent on a sp cific active 30 c llular mechanism can at 1 ast potentially b suppressed.

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Finally, an inhibition f preprogrammed cell d ath would be expect d to lead to aberrant cell survival and could b expected to contribute to oncogenesis.

In general, apoptosis involves distinctive morphological changes including nuclear condensation and 5 degradation of DNA to oligonucleosomal fragments. certain circumstances it is evident that apoptosis is triggered by or is preceeded by changes in protein synthesis. Apoptosis appears to provide a very clean process for cellular destruction, in that the cells are 10 disposed of by specific recognition and phagocytosis prior In this manner cells can be removed from a to bursting. tissue without causing damage to the surrounding cells. Thus, it can be seen that programmed cell death is crucial in a number of physiological processes, including -morphological development, clonal selection in the immune system, and normal cell maturation and death in other tissue and organ systems.

It has also been demonstrated that cells can undergo 20 apoptosis in response to environmental information. Examples include the appearance of a stimulus, such as glucocorticoid hormones for immature thymocytes, or the disappearance of a stimulus, such as interleukin-2 withdrawal from mature lymphocytes, or the removal of colony stimulating factors from hemopoietic precursors (for a review of literature see Williams, Cell, 85; 1097-1098, June 28, 1991). Furthermore, it has recently been demonstrated that the response of removal to nerve growth factor from established neuronal cell cultures mimics target removal, or axiotomy, or other methods of trophic 30 factor removal, and it has been postulated that the cellular mechanism involved in this response is a triggering of a suicide program or programmed cell death following the nerve growth fact r rem val. (S e Johnson et al., Neurobiol. of Aging, 10: 549-552, 1989). The authors 35

pr p se a "death ascade" r "d ath program", which envisions that trophic factor d privation initiates the transcription of new mRNA and the subsequent translation of that mRNA into death associated proteins which act in sequence to ultimately produce "killer proteins". Such an intracellular mechanism seems to fit well with the characteristics of apoptosis discussed above, eg., death of specific cells without the release of harmful materials and without the disruption of tissue integrity. Furthermore, the authors indicate that inhibitors of macromolecular synthesis prevented the death of neurons in the absence of nerve growth factor.

that tumor cells could be eliminated by artificially triggering apoptosis. The APO-1 monoclonal antibody can induce apoptosis in several transformed human B and T cell lines. The antibody binds to a surface protein and could act either by mimicking a positive death-inducing signal or by blocking the activity of a factor required for survival.

Also, anti-FAS antibodies have similar effects, and the recent cloning and sequencing of the gene for the FAS antigen has shown that it is a 63 kilodalton transmembrane receptor. Itoh et al., Cell 66: 233-243 (1991).

However, it is important to note that neither APO-1 nor FAS can function exclusively as triggers for cell death. Both are cell surface receptors that may activate quite different responses under other circumstances. Moreover, these antigens are not confined to tumor cells and their effect on normal cells is certainly an important consideration, as is the possible appearance of variants that no longer display the antigens.

It has also been demonstrated that the c 11 d ath induc d by a range f cytotoxic drugs, including s v ral used in cancer therapy, has also been f und to be a form of

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apoptosis. In fact, th failur f apopt sis in tumor cells could be f fundamental importanc in contributing not only to the evasion of physiological controls on cell numbers, but also to resistance both to natural defenses and to clinical therapy.

It has also been demonstrated that expression of the bcl-2 gene can inhibit death by apoptosis. The bcl-2 gene was isolated from the breakpoint of the translocation between chromosomes 14 and 18 found in a high proportion of the most common human lymphomas, that being follicular B cell lymphomas. The translocation brings together the bol-2 gene and immunoglobulin heavy chain locus, resulting in an aberrantly increased bcl-2 expression in B cells. Subsequently, Henderson et al. (Cell, 65: 1107-1115, 1991) demonstrated that expression of latent membrane protein 1 in cells infected by Epstein-Barr virus protected the infected B cells from programmed cell death by inducing expression of the bcl-2 gene. Sentman et al. (Cell, 67: 879-88, November 29, 1991) demonstrated that expression of the bcl-2 gene can inhibit multiple forms of apoptosis but not negative selection in thymocytes, and Strasser et al. (Cell, 67: 889-899, November 29, 1991) demonstrated that expression of a bcl-2 transgene inhibits T cell death and can perturb thymic self- censorship. Clem et al. (Science, 245: 1388-1390, November 29, 1991) identified a specific baculovirus gene product as being responsible for blocking apoptosis in insect cells.

## b. Herpes Virus Infections and Neurovirulence

The family of herpes virus includes animal viruses of great clinical interest because they are the causative agents of many diseases. Epstein-Barr virus has been implicated in B cell lymphoma; cytomegalovirus presents the greatest infectious thr at to AIDS patients; and Varicella Zoster Virus, is of great oncern in certain parts of the

w rld where chicken p x and shingles ar s rious health problems. A'worldwide increas in th incidence of sexually transmitted herpes simplex (HSV) infection has occurred in the past decade, accompanied by an increase in neonatal herpes. Contact with active ulcerative lesions or 5 asymptomatically excreting patients can result in transmission of the infectious agent. Transmission is by exposure to virus at mucosal surfaces and abraded skin, which permit the entry of virus and the initiation of viral replication in cells of the epidermis and dermis. 10 addition to clinically apparent lesions, latent infections may persist, in particular in sensory nerve cells. Various stimuli may cause reactivation of the HSV infection. Consequently, this is a difficult infection to eradicate. This scourge has largely gone unchecked due to the 15 inadequacies of treatment modalities.

The known herpes viruses appear to share four significant biological properties:

- 1. All herpes viruses specify a large array of
  enzymes involved in nucleic acid metabolism (e.g.,
  thymidine kinase, thymidylate synthetase, dUTPase,
  ribonucleotide reductase, etc.), DNA synthesis (e.g., DNA
  polymerase helicase, primase), and, possibly, processing of
  proteins (e.g., protein kinase), although the exact array
  of enzymes may vary somewhat from one herpesvirus to
  another.
  - 2. Both the synthesis of viral DNAs and the assembly of capsids occur in the nucleus. In the case of some herpes viruses, it has been claimed that the virus may be de-enveloped and re-enveloped as it transits through the cytoplasm. Irrespective of the merits of these conclusions, envelopment of the capsids as it transits through the nucl ar membrane is bligatory.

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3. Pr ducti n f infecti us progeny virus is invariably accompanied by the irreversible destruction of the infected cell.

4. All herpes viruses examined to date are able to remain latent in their natural hosts. In cells harboring latent virus, viral genomes take the form of closed circular molecules, and only a small subset of viral genes is expressed.

Herpes viruses also vary greatly in their biologic

properties. Some have a wide host-cell range, multiply efficiently, and rapidly destroy the cells that they infect (e.g., HSV-1, HSV-2, etc.). Others (e.g., EBV, HHV6) have a narrow host-cell range. The multiplication of some herpes viruses (e.g., HCMV) appears to be slow. While all herpes viruses remain latent in a specific set of cells, the exact cell in which they remain latent varies from on virus to another. For example, whereas latent HSV is recovered from sensory neurons, latent EBV is recovered from B lymphocytes. Herpes viruses differ with respect to the clinical manifestations of diseases they cause.

Herpes simplex viruses 1 and 2 (HSV-1, HSV-2), are among the most common infectious agents encountered by humans (Corey and Spear, N. Eng. J. Med., 314: 686-691, 1986). These viruses cause a broad spectrum of diseases which range from mild and nuisance infections such as recurrent herpes simplex labialis, to severe and lifethreatening diseases such as herpes simplex encephalitis (HSE) of older children and adults, or the disseminated infections of neonates. Clinical outcome of herpes infections is dependent upon early diagnosis and prompt initiation of antiviral therapy. However, despite some succ ssful therapy, dermal and epidermal lesions r cur, and HSV infections of neonates and inf cti ns of the brain are associated with high morbidity and mortality. Earlier

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diagnosis than is currently p ssibl w uld impr ve therap utic succ ss. In addition, impr ved treatments are d sperat ly n ed d.

Extrinsic assistance has been provided to infected individuals, in particular, in the form of chemicals. For example, chemical inhibition of herpes viral replication has been effected by a variety of nucleoside analogues such as acyclovir, 5-flurodeoxyuridine (FUDR), 5-iododeoxyuridine, thymine arabinoside, and the like.

Some protection has been provided in experimental animal models by polyspecific or monospecific anti-HSV antibodies, HSV-primed lymphocytes, and cloned T cells to specific viral antigens (Corey and Spear, N. Eng. J. Med., 314: 686-691, 1986). However, no satisfactory treatment has been found.

The  $\gamma_1$ 34.5 gene of herpes simplex virus maps in the inverted repeat region of the genome flanking the L component of the virus. The discovery and characterization of the gene was reported in several articles (Chou and 20 Roizman, J. Virol., 57: 629-635, 1986, and J. Virol., 64: 1014-1020, 1990; Ackermann et al., J. Virol., 58: 843-850, 1986). The key features are: (i) the gene encodes a protein of 263 amino acid in length; (ii) the protein contains Ala-Thr-Pro trimer repeat ten times in the middle of the coding sequence; (iii) the protein is basic in 25 nature and consists of large number of Arg and Pro amino acids; (iv) the promoter of the gene maps in the a sequence of the genome which also serves several essential viral functions for the virus; (v) the cis-acting element 30 essential for the expression of the gene  $\gamma_1$ 34.5 is contained within the a sequence, in particular, the DR2 (12 base pair sequence repeated 22 times) and U, element. This type of promoter structure is unique to this gene and not shared by other viral gene pr m ters.

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The function of th gen  $\gamma_1 34.5$  in its ability t enable the virus to replicate, multiply and spread in the central nervous system (CNS) was demonstrated by a set of recombinant viruses and by testing their abilities to cause fatal encephalitis in the mouse brain. The mutant viruses lacking the gene therefore lost their ability to multiply and spread in the CNS and eyes and therefore is non-pathogenic. See Chou et al., Science, 250: 1212-1266, 1990.

The  $\gamma_1 34.5$  gene functions by protecting the nerve cells from total protein synthesis shutoff in a manner characteristic of programmed cell death (apoptosis) in neuronal cells. The promoter appears to contain stress response elements and is transactivated by exposure to UV irradiation, viral infection, and growth factor deprivation. These data suggest that the gene  $\gamma_1 34.5$  is transactivated in the nerve cells at times of stress to prevent apoptosis.

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The significance of these findings therefore lies in the fact that  $\gamma_1 34.5$  extends viability or lends protection to the nerve cells so that in this instance, the virus can replicate and spread from cell to cell — defined as neurovirulence. It also appears that the protection can be extended to other toxic agents or environmental stresses to which the cell is subjected. An important aspect about the nature of the neurons, unlike any other cells in human, is the fact that neurons in the brain, eyes or CNS do not regenerate which forms the basis of many impaired neurological diseases. Any genes or drugs that extend the life of cells from death or degeneration can be expected to have a significant impact in the area of neural degeneration.

The role of  $\gamma_1$ 34.5, and anti-apopt sis factors, in inf cted cells is in its arly stages of elucidation.

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Recent studi s have suggested that Epst in-Barr virus enhances the survival capacity f infected cells through latent m mbrane prot in 1(LMP1)-induc d up-r gulation of bcl-2. In that system it is postulated that LMP 1 induc d bcl-2 up regulation gives virus infected B cells the potential to by-pass physiological selection and gain direct access to long lived memory B cell pools. However, bcl-2 expression fails to suppress apoptosis in some situations, for example upon withdrawal of interleukin-2 or interleukin-6. Moreover, the intracellular mechanism of action of bcl-2 expression remains unknown.

## c. Programmed Cell Death and Disease Therapy

In light of the foregoing, it is apparent that the expression of  $\gamma_1$ 34.5 in CNS cells added an extra dimension 15 of protection to the neurons against viral infection, and naturally ocurring and stress-induced apoptosis. An appreciation of this extra dimension of protection can be utilized in novel and innovative means for control and treatment of central nervous system (CNS) disorders. 20 Specifically, treatment of CNS degenerative diseases. including Alzheimer's disease, Parkinson's disease, Lou Gerig's disease, and others the etiology of which may be traceable to a form of apoptosis, and the treatment of which is currently very poor, could be improved significantly through the use of either the  $\gamma_1$ 34.5 gene in 25 gene therapy or the protein expressed by  $\gamma_1 34.5$  as a therapeutic agent. This is especially critical where the death of neuronal cells is involved, due to the fact that. as noted, such cells do not reproduce post-mitotically. Since a finite number of neurons are available it is 30 crucial to have available methods and agents for their protection and maintenance.  $\gamma_1$ 34.5 is also a very useful gene for assays of substances which mimic the effect of  $\gamma$ ,34.5 and block stress of biologically induced programmed 35 cell death.

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Furtherm re, the HSV-1 virus, appropriately modifi d so as t be made non-path genic, can serve as a vehicl fr delivery of gene therapy to neurons. The HSV-1 virus is present in neurons of the sensory ganglia of 90% of the world's human population. The virus ascends into neuronal cell bodies via retrograde axonal transport, reaching the axon from the site of infection by the process of neurotropism. Once in the neuronal cell body the virus remains dormant until some form of stress induces viral replication (e.g. UV exposure, infection by a second virus, surgery or axotomy). As noted, the use of HSV-1 as a vector would necessitate construction of deletion mutants to serve as safe, non-pathogenic vectors. Such a virus would act as an excellent vector for neuronal gene therapy and its use would be an especially important development since few methods of gene therapy provide a means for delivery of a gene across the central nervous system's blood-brain barrier.

Moreover, other viruses, such as HSV-2, picornavirus, coronavirus, eunyavirus, togavirus, rahbdovirus, retrovirus or vaccinia virus, are available as vectors for  $\gamma_1 34.5$  gene therapy. As discussed with regard to the use of HSV-1 viruses, these vectors would also be altered in such a way as to render them non-pathogenic. In addition to the use of an appropriately mutated virus, implantation of transfected multipotent neural cell lines may also provide a means for delivery of the  $\gamma_1 34.5$  gene to the CNS which avoids the blood brain barrier.

In addition, use of the HSV-1 virus with a specific mutation in the  $\gamma_1$ 34.5 gene provides a method of therapeutic treatment of tumorogenic diseases both in the CNS and in all other parts of the body. The " $\gamma_1$ 34.5 minus" virus can induce apoptosis and thereby cause the death of the host cell, but this virus cannot replicate and spread. Ther fore, given the ability to targ t tumors within the CNS, the  $\gamma_1$ 34.5 minus virus has proven a powerful

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therap utic ag nt for hith rto virtually untr atable forms of CNS cancer. Furthermore, us of substanc s, other than a virus, which inhibit r bl ck expr ssi n f genes with anti-apoptotic effects in target tumor cells can also serve as a significant development in tumor therapy and in the treatment of herpes virus infection, as well as treatment of infection by other viruses whose neurovirulence is dependent upon an interference with the host cells' programmed cell death mechanisms.

#### 10 SUMMARY OF THE INVENTION

This invention relates to methods for the prevention or treatment of programmed cell death, or apoptosis, in neuronal cells for therapy in connection with neurodegenerative diseases, as well as methods of treatment of cancer and other tumorogenic diseases and herpes virus 15 infection. The present invention also relates to assay methodologies allowing for the identification of substanc s capable of modulating the effects of the  $\gamma_1$ 34.5 gene or its protein expression product ICP34.5, i.e., substances capable of potentiating or inhibiting their effects. 20 Additionally, the present invention also relates to assay methodologies designed to identify candidate substances able to mimic either  $\gamma_1$ 34.5 expression or the activity of ICP34.5. The present invention also relates to methods of delivering genes to cells for gene therapy.

In one illustrative embodiment of the present invention a method of preventing or treating programmed cell death in neuronal cells is described in which a nonpathogenic vector is prepared which contains the  $\gamma_1 34.5$ gene. This vector is then introduced into neuronal cells which are presently undergoing or are likely to undergo programmed cell death. Those skilled in the art will realize that several vectors are suitable for use in this method, although the present invention envisi ns the use of

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certain unique and novel vectors designed specifically for use in connection with delivery of the  $\gamma_1$ 34.5 gene.

One such vector envisioned by the present invention is the HSV-1 virus itself, modified so as to render it non-pathogenic. Because of the unique capability of the HSV-1 virus to use an axon's internal transport system to move from the peripheral nerve endings of the neuron into the neuronal cell body, the present invention proposes the use of the non-pathogenic HSV-1 virus injected into the vicinity of the synaptic terminals of affected neurons, or in the area of a peripheral wound or lesion or other appropriate peripheral locus. The HSV-1 virus containing the  $\gamma_1$ 34.5 gene, under a different target-specific promoter, would then be transported into the neuronal cell body via retrograde axonal transport.

The present invention envisions specific genomic modifications being introduced into the HSV-1 virus in order to render the virus non-cytotoxic. These modifications could include deletions from the genome, rearrangements of specific genomic sequences, or other specific mutations. One example of such a modification comprises modification or deletion of the a4 gene which encodes the ICP4 protein. Deletion or modification of th gene expressing ICP4 renders the HSV-1 virus unable to express genes required for viral DNA and structural protein synthesis. However, the  $\gamma_1$ 34.5 gene placed under a suitable promoter would be expressed, thus inducing an antiapoptotic effect in the neuron without the potential for stress induced neurovirulence. Other genes which might be modified include the the aO gene. The present invention also envisions the use of other vectors including, for example, retrovirus, picorna virus, vaccinia virus, HSV-2, c ronavirus, eunyavirus, togavirus r rhabdovirus vect rs. Again, use f of such viruses as vectors will necessitate

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construction f deletion mutati ns so that the v ctors will be saf and non-path g nic.

Another method by which the present invention envisions introducing the  $\gamma_1 34.5$  gene into neuronal cells undergoing or likely to undergo programmed cell death, is through the use of multi-potent neural cell lines. Such lines have been shown to change phenotype in vitro and have also been demonstrated to become integrated into the central nervous system of mice and to differentiate into neurons or glia in a manner appropriate to their site of engraftment. Snyder, et al., Cell, 68: 33-51, 1992. Transplant or engraftment of multi-potent neural cell lines into which the  $\gamma_1 34.5$  gene has been introduced into an area of the central nervous system in which cells are undergoing or are likely to undergo programmed cells death is expected to lead to reversal and inhibition of programmed cell death.

It is expected that the ability of  $\gamma_1 34.5$  to inhibit apoptosis will be a boon not only in human medicine, but also in basic scientific research. In this regard the present invention also envisions the use of the  $\gamma_1 34.5$  gene in the extension of the life of neuronal cells in cell culture. Introduction of a non-cytotoxic vector into cultured neuronal cells will have an anti-apoptotic effect and will thereby extend the life of cell cultures. This in turn will extend the time periods over which experimentation may be conducted, and can also be expected to decrease the cost of conducting basic research.

In addition to utilizing a vector comprising the  $\gamma_1 34.5$  gene, the present invention also discloses a method of preventing or treating programmed cell death in neuronal cells which involves the use of the product of expression of the  $\gamma_1 34.5$  gene. The prot in express d by  $\gamma_1 34.5$  is call d ICP34.5. Ackermann, et al. (J. Virol., 58: 843-850,

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1986) r ported that ICP34.5 has an apparent molecular weight of 43,500 upon SDS-polyacrylamide gel electrophoresis, appears to accumulate largely in the cytoplasm of HIV infected cells, and in contrast to many HSV-1 proteins, ICP34.5 has been demonstrated to be soluble in physiologic solutions.

In practicing this method or the method in which the  $\gamma_1$ 34.5 gene is introduced into cells, it is envisioned that the  $\gamma_1$ 34.5 gene or a biological functional equivalent thereof could be used for gene therapy, or ICP34.5 in a purified form or a biological functional equivalent of the ICP34.5 protein could be utilized as an anti-apoptotic agent. As used herein, functional equivalents are intended to refer to those proteins, and their encoding nucleic acid sequences, in which certain structural chang s have been made but which nonetheless are, or encode, proteins evidencing an effect similar to that of ICP34.5.

In light of the fact that certain amino acids may be substituted for other amino acids in a protein without appreciable loss of defined functional activity, it is contemplated by the inventors that various changes may be made in the sequence of the ICP34.5 protein (or the underlying DNA of the  $\gamma_1$ 34.5 gene) without an appreciable loss of biological utility or activity. Amino acids with similar hydropathic scores may be substituted for one another (see Kyte et al., <u>J. Mol. Biol.</u>, <u>157</u>: 105-132, 1982, incorporated herein by reference), as may amino acids with similar hydrophilicity values, as described in U.S. Patent 4,554,101, incorporated herein by reference.

Therefore, amino acid substitutions are generally based on the relative similarity of the amino acid sidechain substituents, f r example, th ir hydroph bicity, hydr philicity, charge, siz, and the like. Exemplary substitutions which tak various of the foregoing

characteristics int consideration ar well known to those of skill in 'the art and includ: arginin and lysine; glutamate and aspartate; serin and threonine; glutamine and asparagine; and valine, leucine and isoleucine.

5 This embodiment of the present invention describes a method which involves combining ICP34.5 or a biological functional equivalent thereof with a pharmaceutically acceptable carrier in order to form a pharmaceutical composition. (It should be understood in subsequent discussions that when  $\gamma_1 34.5$  or ICP34.5 are referred to, the 10 inventors intend to include biological functional equivalents, including any chemicals which mimic the eff ct of  $\gamma_i$ 34.5.) Such a composition would then be administered to neurons likely to undergo or undergoing programmed cell Such a composition could be administered to an 15 animal using intravenous, intraspinal injection or, in certain circumstances, oral, intracerebral or intraventricular administration may be appropriate. Furthermore, neuronal cells in culture could also benefit 20 from administration of ICP34.5 through administration directly into the medium in which the neuronal cells are grown.

ICP34.5 can be prepared using a nucleic acid segment which is capable of encoding ICP34.5 (i.e., the γ<sub>1</sub>34.5 gen or a biological functional equivalent). Such a segment could be expressed using, for example, a technique involving transferring the γ<sub>1</sub>34.5 segment into a host cell, culturing the host cell under conditions suitable for expression of the segment, allowing expression to occur, and thereafter isolating and purifying the protein using well established protein purification techniques. The nucleic acid segment would be transferred into host cells by transfection or by transformation of a recombinant v ctor into the host cell.

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A particularly imp rtant emb diment f th present invention relates to assays f r candidat substances which can either mimic the effects of the  $\gamma_134.5$  gene, or mimic the effects of ICP34.5, as well as assays for candidate substances able to potentiate the function of  $\gamma_134.5$  or potentiate the protective function of ICP34.5. Additionally, methods for assaying for candidate substances able to inhibit either  $\gamma_134.5$  expression or the activity of ICP34.5 are also embodiments of the present invention.

10 In an exemplary embodiment, an assay testing for candidate substances which would block the expression of the anti-apoptosis gene or inhibit the activity of an antiapoptotic protein such as ICP34.5 would proceed along the following lines. A test plasmid construct bearing the a sequence promoter and portions of the coding sequence of 15  $\gamma_1$ 34.5 is fused to the lacZ reporter gene, or any other readily assayable reporter gene. This construct is then introduced into an appropriate cell line, for example a neuroblastoma or PC12 cell line, by G418 selection. A clonal and continuous cell line for screening purposes is 20 then established. A control plasma construct bearing an HSV late promoter (a promoter which would normally not be expressed in cell lines and not induced to express by a stress factor which would normally induce apoptosis) is 25 fused to the same or different indicator gene. construct is also introduced into a continuous clonal cell line and serves as a control for the test cell line. The anti-apoptosis drugs would then be applied. Environmental stresses which typically trigger a sequence promoter activation and cause programmed cell death, such as UV 30 injury, viral infection or deprivation of nerve growth factor, would then be applied to the cells. In control cells, the stress should have no effect on the cells and produce no detectible reaction in the assay. Stress in a 35 test cell line in the absence of a positive candidate substance w uld give rise to an appr priate reaction.

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typically a colorimetric r acti n. Intr duction f str ss to the t st cell line in th pres nce of the candidate substance would give rise to an opposite colorimetric reaction indicating that the candidate substance interferes either with expression of the  $\gamma_1 34.5$  gene, or with the ability of the substance to interfere with the anti-apoptotic activity of ICP34.5.

Similarly, the present invention describes an assay for candidate substances which would mimic or potentiate 10 the activity of ICP34.5, or which would mimic the expression of  $\gamma_1$ 34.5, and such an assay would proceed along lines similar to those described above. A test cell line (e.g., a neuroblastoma cell line) constitutively expressing ICP34.5 and a fluorescent tagged cellular gene or any other 15 tag providing an easily detected marker signalling viability of the cells is produced. In addition, a corresponding null cell line consisting of an appropriate indicator gene, for example the a-lacZ indicator gene, and the same host indicator gene as in the test cell line is 20 also produced. Also, a third cell line (e.g., a vero cell line) consisting of the same indicator gene and the identical host indicator gene is also produced. Again, environmental stresses which trigger programmed cell death in the absence of  $\gamma_1$ 34.5 are applied to the cells. Candidate substances are also applied in order to determine 25 whether they are able to mimic or potentiate the antiapoptotic effects of  $\gamma_1$ 34.5 expression or the anti-apoptotic activity of ICP34.5 or biological functional'equivalents thereof.

The present invention also embodies a method of delivering genes for gene therapy. In an exemplary embodiment, the method involves combining the gene used for gene therapy with a mutated virus such as th s describ d above, or with the HSV-1 virus rendered non-path genic.

35 The g ne and th virus are then c mbined with a

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pharmacologically acceptable carrier in order t form a pharmaceutical comp siti n. This pharmac utical composition is then administered in such a way that the mutated virus containing the gene for therapy, or the HSV-1 wild type virus containing the gene, can be incorporated into cells at an appropriate area. For example, when using the HSV-1 virus, the composition could be administered in an area where synaptic terminals are located so that the virus can be taken up into the terminals and transported in a retrograde manner up the axon into the axonal cell bodies via retrograde axonal transport. Clearly, such a method would only be appropriate when cells in the peripheral or central nervous system were the target of the gene therapy.

The present invention also envisions methods and compositions for the treatment of cancer and other tumorogenic diseases, as well as herpes infections or other infections involving viruses whose virulence is dependent upon an anti-apoptotic effect. Candidate substances identified as having an inhibiting effect upon either the expression or activity of ICP34.5 identified in the assay methods discussed above could be used to induce cell death in target tumor cells, or in virus-infected cells. Pharmaceutical compositions containing such substances can be introduced using intrathecal, intravenous, or direct injection into the tumor or the infected area, as appropriate.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows DNA sequence comparisons of HSV-1 strains F (SEQ ID NO's 1-5), 17syn+ (SEQ ID NO's: 6-9), MGH-10 (SEQ ID NO's 10-15), and CVG-2 (SEQ ID NO's 16-20) in the regin of the gene for ICP34.5 (left panel) and the predicted open frames for ICP34.5 in these strains (SEQ ID NO's 25-34) (right panel). Unless otherwise indicated by a new base (insertion f A, C, G, or T), a new amino acid (three-letter code), or absence of a base r amin acid (-), the

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sequences for strains HSV-1(17)syn+, HSV-1(MGH-10), and HSV-1(CVG-2)'wer identical to the sequence for HSV-1(F). An asterisk indicates initiation of a repeat sequence of nine nucleotides or three amino acids. Direct repeat 1(DR1) designates the 20-base-pair repeat sequence flanking the a sequence. Sequences upstream of direct repeat 1 are contained within the a sequence. The number at the end of each line indicates the relative position from nucleotide 1 (left panel) or amino acid 1 (right panel). The initiation and termination codons for the HSV-1(F) sequence are underlined.

Fig. 2 shows sequence arrangements of the genome of wild-type strain HSV-1 strain F [HSV-1(F)] and of recombinant viruses derived from it. Top line, the sequence arrangement of HSV-1(F)  $\Delta$ 305. The rectangles 15 identify the inverted repeats ab, b' a' c, and ca. The HSV-(F) a sequence is present in a direct orientation at the two genomic termini and in the inverted orientation at the junction between the long and short components. and c sequences are approximately 9 and 6 kbp long, 20 respectively. The triangle marked TK identifies the position of the tk gene and of the Bgl II to Sac I sequence of BamHI Q fragment deleted from HSV-1(F) \$\Delta 305\$. Lines two and three from the top show that the b sequences contain the genes specifying ICP34.5 and ICPO and, since b sequence 25 is repeated in an inverted orientation, there are two copies of these genes per genome. The construction of the <u>8</u>24-tk fragement containing portions of the ,glycoprotein H gene has been described. Chou and Roizman, J. Virol., 57: (1986); Ackerman et al., <u>J. Virol.</u>, <u>58</u>: 843 (1986); Chou 30 and Roizman, J. Virol., 64: 1014 (1990). Line 7 shows a schematic diagram of the insertion of the oligonucleotide containing stop codons in all three reading frames. plasmids pRB3615 and pRB2976 used in the construction of 35 R4002 and R4004, r spectively, were d scribed els where. Chou and Roizman, J. Virol., 57: 629 (1986) and J. Virol.,

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64: 1014 (1990). To generate pRB3616, plasmid pRB143 was digested with BstEII and Stu I, blunt-end d with T4 polymerase, and relegated. The asterisks designate nucleotides from vector plasmid that form cohesive ends with the synthesized oligomers (SEQ ID NO's 21-22). insertion of the  $\alpha 4$  epitope into the first amino acid of ICP34.5 (line 9) has been described, Chou and Roizman, J. Virol., 64: 1014 (1990), except that in this instance the sequence was inserted into both copies of the  $\gamma_134.5$  gene (SEQ ID No's 23, 24 and 34). The tk gene was restored in all recombinant viruses tested in mice. HSV-1(F)R (line 6) was derived from R3617 by restoration of the sequences deleted in  $\gamma_1$ 34.5 and tk genes. N, Be, S, and St are abbreviations for Nco I, BstEII, Sac I, and Stu I restriction endonucleases (New England Biolabs), respectively. The numbers in parentheses are the  $tk^+$ version of each construct tested in mice.

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Fig. 3 shows an autoradiographic image of electrophoretically separated digest of plasmid, wild-typ , 20 and mutant virus DNAs, transferred to a solid substrate and hybridized with labelled probes for the presence of  $\gamma_134.5$ and tk genes. The plasmids or viral DNAs shown were digested with BamHI or, in the case of R4009 shown in lanes 10, with both BamHI and Spe I. The hybridization probes were the fragment Nco I to Sph I contained entirely within 25 the coding sequences of  $\gamma_1$ 34.5 (left panel) and the BamHI Q fragment of HSV-1(F) (right panel). The probes were labeled by nick translation of the entire plasmid DNAs with  $[\alpha^{-32}P]$  deoxycytidine triphosphate and reagents provided in a kit (Du Pont Biotechnology Systems). The DNAs that were 30 limit digested with BamHI (all lanes) or both BamHI and Spe I (left panel, lane 10) were electrophoretically separated on 0.8% agarose gels in 90 mM trisphosphate buffer at 40 V overnight. The DNA was then transferr d by gravity to two nitrocellulose sheets sandwiching the gel and hybridized 35 overnight with the resp ctive probes.  $\gamma_134.5$  maps in BamHI

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S and SP fragments, which form a characteristic ladder of bands at 500-bp increments. The ladders are a consequence of a variabl number of a sequences in the repeats flanking the unique sequences of the junction between the long and short components, whereas BamHI S is the terminal fragment of the viral genome at the terminus of the long component, whereas BamHI SP is a fragment formed by the fusion of the terminal BamHI S fragment with BamHI P, the terminal BamHI fragment of the short component. Bands of BamHI S, SP, and Q and their deleted versions,  $\Delta$ BamHI S,  $\Delta$ BamHI SP, and  $\Delta$ BamHI Q ( $\Delta$ Q), respectively, are indicated. Bank 1 represents the 1.7-kbp  $\alpha$ 27-tk insert into the BamHI SP fragment in R4002, and therefor this fragment reacted with both labeled probes (lanes 4). Band 2 represents the same insertion into the BamHI S fragment.

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Fig. 4 shows autoradiographic images (left panel) and photograph of lysates of cells mock infected (M) or infected with HSV-1(F) and recombinant viruses (right panel) separated electrophoretically in denaturing 20 polyacrylamide (10%) gels, transferred electrically to a nitrocellulose sheet, and stained with rabbit polyclonal antibody R4 described elsewhere. Ackerman et al., J. Virol., 58: 843 (1986); Chou and Roizman, J. Virol., 64: 1014 (1990). Replicate cultures of Vero cells were infected and labeled with [35]methionine (Du Pont 25 Biotechnology Systems) from 12 to 24 hours after infection, and equivalent amounts of cell lysates were loaded in each slot. The procedures were as described (Ackerman et al.; Chou and Roizman) except that the bound antibody was mad apparent with the alkaline phosphatase substrate system 30 supplied by Promega, Inc. Infected cell proteins were designated by number according to Honess and Roizman (J. <u>Virol.</u>, <u>12</u>: 1346 (1973)). The chimeric ICP34.5 specified by R4003 migrated more slowly than the protein produced by other viruses because of th increased m lecular w ight 35 caused by th insertion f th epitope.

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Fig. 5 is a schematic repres ntation f the genome structure and sequenc arrangements f the HSV-1 strain F [HSV01(F)] and related mutants. Top line: The two covalently linked components of HSV-1 DNA, L and S, each consist of unique sequences flanked by inverted repeats (7, 31). The reiterated sequences flanking the L component designated as ab ad b'a' are each 9 kb in size, whereas the repeats flanking the S component are 6.3 kb in size (31). Line 2: expansion of portions of the inverted repeat sequences <u>ab</u> and <u>b'a'</u> containing the  $\gamma_134.5$  and  $\alpha0$  genes. Line 3: sequence arrangement and restriction endonuclease sites in the expanded portions shown in line 2. Open box represents the 20 bp direct repeat sequence (DR1), flanking the a sequence (26,27). Restriction site designations are N,- NcoI; Be,- BstEII; S,- SacI; St,- StuI. Line 4: the thin line and filled rectangle represent the transcribed and coding domains of the  $\gamma_1 34.5$  gene (406). Vertical line, location of the transcription initiation sites of  $\gamma_1 34.5$  and of  $\alpha 0$  genes. In the R3616 viral recombinant, on Kb was deleted between BstEII at 28th amino acid of  $\gamma_1$ 34.5 to StuI at the 3' terminus of the genes as shown. In HSV-1(F)R DNA, the sequences deleted from the  $\gamma_1$ 34.5 gene in R3616 were restored and therefore the virus could be expected to exhibit a wild-type phenotype. The R4009 recombinant virus DNA contains an in frame translation termination codons at the BstEII site. Vertical arrow on top points to the site of the stop codon insertion.

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Fig. 6 shows an autoradiographic image of eletrophoretically separated lysates of infected cells labeled for 90 minutes with "S-methionine at stated time points. The SK-N-SH neuroblastoma and Vero cell lines were mock infected (M) or exposed at 37°C to 5 pfu of wild-type or mutant viruses in 6 well (Costar, Cambridge, Mass.) dishes. At 2 hours post exposure, the c lls were overlaid with mixture 199 supplemented with 1% calf serum. At 5.5 and 11.5 h urs post exp sure f c lls to virus s, replicate

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inf cted 6 well cultures were verlaid with 1 ml of the 199v medium lacking unlabel d methionin but supplemented with 50µCi of \$5\$-methionine (specific activity >1,000 Ci/mmole, Amersham Co. Downers Grove, IL). After 90 minutes in labeling medium, the cells were harvested, solubilized in a buffer containing sodium dodecyl sulphate, subjected to electrophoresis on a denaturing 12% polyacrylamide gels crosslinked with N, N' Diallytartardiamide, electrically transferred to nitrocellulose sheet and subjected to autoradiography as previously described (13). Infected cell polypeptides (ICP) were designated according to Honess and Roizman, J. Virol., 12: 1347-1365 (1973).

Fig. 7 shows autoradiographic images of labeled polypeptides electrophoretically separated in denaturing 15 gels and photographs of protein bands made apparent by their reactivity with antibodies. The SK-N-SH neuroblastoma and Vero cells were either mock infected (M) or infected with 5 pfu of either R3616 or the parent HSV-1(F) per cell as described in the legend to Figure 6. 20 cultures were labeled for 1.5 hr before harvesting at 13th hr post exposure of cells to virus. Preparation of cell extracts, electrophoresis of the polypeptides, electric transfer of the separated polypeptides to a nitrocellulose sheet, and autoradiography were carried out as described 25 elsewhere (Ackerman et al., J. Virol., 52: 108-118, 1984). The nitrocellulose sheets were reacted with the respective antibodies with the aid of kits from Promega, Inc. (Madison, WI) according to manufacture's instruction. Monoclonal antibodies H1142 against  $\alpha$ 27 and H725 against 30 the product of the  $U_L26.5$  gene were the generous gift of Lenore Pereira, University of California at San Francisco. The M28 monoclonal antibody to Us11 protein and the rabbit p lyclonal antibody R161 against viral thymidine kinase  $(\beta tk)$  w re made to a sp cific peptid (M. Sarmi nto and B. 35

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Roizman, unpublished studies) in this lab rat ry. ICP designations were the same as noted befor.

Fig. 8 shows an autoradiographic image of viral proteins expressed during infection on SK-N-SH 5 neuroblastoma cell lines in the presence or absence of phosphonoacetate (PAA). Duplicate SK-N-SH neuroblastoma cell cultures were either treated with phosphonoacetate (300 μg/ml; Sigma Chemical Co., St. Louis, MO) starting at 1.5 hr prior to infection continuously until the 10 termination of infection or left untreated. The cultures were either mock infected or exposed to 5 pfu of either HSV-1(F), R3616, R4009 and HSV-1(F)R at 5 pfu/cell. 11.5 hours post exposure to virus, the cells were overlaid with medium containing 50  $\mu$ Ci of  $^{35}$ S-methionine as described in legend to Figure 6. Polypeptide extraction, 15 electrophoresis on 12% polyacrylamide gels crosslinked with N, N' Diallytartardiamide, electrical transfer to nitrocellulose sheets and autoradiography were as describ d in the legend to Figure 6.

20 Fig. 9 shows viral DNA and RNA accumulation in infected SK-N-SH neuroblastoma and Vero cell cultures. Left panel: Photograph of ethidium bromide stained agarose gel containing electrophoretically separated BamHI digests of total DNAs extracted from mock-infected cells or cells infected with HSV-1(F), R3616, R4009 or HSV-1(F)R viruses. 25 Right panel: Hybri-dization of electrophoretically separated RNA transferred to a nitrocellulose sheets probed with RNA sequences antisense to  $\alpha47$ ,  $U_s10$  and  $U_s11$  open reading frames. SK-N-SH neuroblastoma and Vero cells were 30 either mock-infected or exposed to 5 pfu of HSV-1(F) or of R3616 per cell. Total DNAs were extracted from cells at 17 hr post infection by the procedure published by Katz et al., (J. Virol., 64: 4288-4295), digested with BamHI, lectr phor sed in 0.8% agar s gel at 40V vernight and 35 stained with ethidium bromide for visualization.

analysis, SK-N-SH neuroblastoma and Vero cells were either m ck-infected or inf cted with R3616 and HSV-1(F) as d scribed above. At 13 hrs post exposure of cells to virus the RNA was extracted by the procedure of Peppel and Baglioni (BioTechniques, 9: 711-712, 1990). The RNAs wer 5 then separated by electrophoresis on 1.2% agarose gel, transferred by gravity to a nitrocellulose sheet and probed with anti-sense RNA made from in vitro transcription of pRB3910 off T7 promoter using kit from Promega, Inc. according to manufacturer's instruction.  $\alpha47$ ,  $U_810$  and  $U_811$ 10 transcripts overlap in sequence and share the same 3' coterminal sequence. McGeoch et al., J. Gen. Virol., 64: 1531-1574 (1988). The  $U_810$  transcript is of low abundance and not detected in this assay.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS Introduction

The present invention relates to the use of the HSV-1  $\gamma_1 34.5$  gene, the ICP34.5 protein expressed by that gene, and derivatives of the protein which function in a similar manner as therapeutics for (neuronal) programmed cell 20 The present invention also relates to the use of altered, non-pathogenic HSV-1 virus (as well as other viruses) as a vector for gene therapy. Other aspects of the present invention relate to assays for detecting candidate substances capable of acting as anti-apoptotic 25 agents, as well as assays for detecting candidate substances able to induce programmed cell death in tumor cells. Additionally, the present invention also relates to methods for treating cancer and other tumorgenic diseases. Finally, the present invention also relates to the use of 30 candidate substances capable of inactivating the  $\gamma_1 34.5$  gene or ICP34.5 and thereby suppressing HSV-1 and other viral infections.

The wild-typ HSV-1 gen me (150 kil bas pairs) has two components, L and S, each p ss ssing unique sequences

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of the DNA.

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flanked by inverted repeats. The r p at sequences of the L component, designat d ab and b'a', are ach 9 kilobase pairs, whereas the repeat sequences of the S component, designated a'c' and ca, are each 6.5 kilobase pairs. Wadsworth et al., J. Virol., 15: 1487-1497 (1975). The shared a sequence, 500-base pairs long in HSV-1 strain F [HSV-1(F)], is present in one copy at the S component terminus and in one to several copies, in the same orientation, at the junction between L and S components. The L and S components invert relative to each other such that the DNA extracted from virions or infected cells consists of four isomers differing solely in the orientation of the L and S components relative to each other. Hayward et al., Proc. Natl. Acad. Sci. USA, 72: 4243-4247 (1975). The g sequence appears to be a cisacting site for inversions inasmuch as insertion of the a sequence elsewhere in the genome or deletion of the entire internal inverted repeat sequences (b'a'c') leads to additional inversions or the loss of the ability of the L and S components to invert, respectively. The a sequence was also shown to contain the cis-acting sites for the circularization of the genome after infection, for cleavage

HSV-1 genomes contain at least 73 genes whose expression is coordinately regulated and sequentially ordered in cascade fashion. The  $\alpha$  genes are expressed first, followed by  $\beta$ ,  $\gamma_1$  and  $\gamma_2$  genes. The differentiation among  $\beta$ ,  $\gamma_1$  and  $\gamma_2$  genes is operationally based on the effect of inhibitors of viral DNA synthesis. Whereas the expression of  $\beta$  genes is stimulated and that of  $\gamma_1$  genes is only slightly reduced by inhibitors of viral DNA synthesis, the expression of  $\gamma_2$  genes stringently requires viral DNA synthesis.

of the HSV genome from concatemers, and for encapsidation

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. In the c urse of studies n the function f the a sequenc, Chou and Roizman (Cell, 41: 803-811, 1985) n ted that the chimeric structure consisting of the a sequence fused to the 5' transcribed, noncoding sequences of the thymidine kinase (TK) gene of HSV-1 was inducible in transferred cells and regulated as a  $\gamma_i$  gene when inserted into the viral genome. This observation suggested that the terminus of the a sequence nearest the b sequence of the inverted repeats contained a promoter and the transcripti n initiation site of a gene whose structural sequences were located in the b sequences flanking the L component. Studies involving hybridization of labeled DNA probes to electrophoretically separated RNAs extracted from infected cells, and S1 nuclease analyses confirmed the existence of RNA transcripts initiating in the a sequence. Nucleotide sequence analyses revealed the presence of an open reading frame capable of encoding a protein 263 amino acids long. Chou and Roizman, J. Virol., 64: 1014-1020 (1990).

Previous studies have shown that each inverted repeat 20 of the S component contains in its entirety a gene designated 04, whereas each of those of the L component contains in its entirety a gene designated c0. Mackem and Roizman, <u>J. Virol</u>., <u>44</u>: 934-947 (1982). putative gene identified on the basis of nucleotide sequence and analyses of RNA is also present in two copies 25 per genome. Because of the overlap of the domain of this gene with the a sequence containing the cis-acting sites for inversion, cleavage of DNA from concatemers, and packaging of the DNA, it was of interest to identify and 30 characterize the gene product. For this purpose, the observation that the nucleotide sequence predicted the presence in the protein of the amino acid triplet Ala-Thr-Pro repeated 10 times was utilized, and antibody to a synthetic peptide synthesized on the basis of this sequence 35 r act d with a 43,500-apparent-m lecular-weight HSV-1 protein. Ack rman et al., J. Virol., 58: 843-850, 1986.

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. The extent of variability of the op n reading frame that encodes' ICP34.5 was establish d by comparing the nucleotide sequences of three HSV-1 strains passaged a limited number of times outside a human host. Chou and Roizman (J. Virol., 64: 1014-1020, 1990) reported that the gene that specifies ICP34.5 contains 263 codons conserved in all three limited passage strains but not in the reported sequence of the HSV-1(17)syn+ strain. (FIG. 1) ensure that the antibody to a predicted repeat sequence, Ala-Thr-Pro, reacted with ICP34.5 rather than with a heterologous protein with a similar repeat sequence, a short sequence of 45 nucleotides that encodes an epitope characteristic of another HSV-1 gene was inserted near th 5' terminus of the ICP34.5-coding domain. The recombinant virus expressed a protein with an appropriately slower electrophoretic mobility and which reacted with both the monoclonal antibody to the inserted epitope and rabbit antiserum to the Ala-Thr-Pro repeat element.

Studies of the identification of the genes associated 20 with neurovirulence have repeatedly implicated DNA sequences located at or near a terminus of the long component of HSV-1 DNA. Thus, Centifanto-Fitzgerald et al. (J. Exp. Med., 155: 475,1982) transferred, by means of a DNA fragment, a virulence marker from a virulent to an 25 antivirulent strain of HSV-1. Deletion of genes located at one terminus of the long component of HSV-1 DNA contributed to the lack of virulence exhibited by a prototype HSV vaccine strain. Meignier et al., J. Infect. Dis., 158: 602 (1988). In other studies, Javier et al. (J. Virol., 65: 1978, 1987) and Thompson et al. (Virology, 172: 435, 1989) 30 demonstrated that an HSV-1 x HSV-2 recombinant virus consisting largely of HSV-1 DNA but with HSV-2 sequences located at one terminus of the long component was avirulent; virulenc could be restored by r scu with the homologous HSV-1 fragment. Taha et al. (J. Gen. Virol., 35 70: 705, 1989) d scribed a spontaneous deletion mutuant

lacking 1.5 kbp at both ends of th long component of a HSV-2 strain. Because f heterogeneity in th parent virus population, the loss of virulence could n t be unambiguously related to the specific deletion, although the recombinant obtained by marker rescue was more virulent than the deletion mutant. In neither study was a specific gene or gene product identified at the mutated locus, and no gene was specifically linked to virulence phenotype.

## Role of the $\gamma_134.5$ Gene

- To test the possible role of the product of the  $\gamma_134.5$  gene, ICP34.5, a series of four viruses (Fig. 2) were genetically engineered by the procedures of Post and Roizman (Cell, 25: 227, 1981, incorporated herein by reference).
- 15 1) Recombinant virus R4002 (Fig. 4, lane 3) contained the insertion of a thymidine kinase (tk) gene driven by the promoter of the a27 gene (a27-tk) in both copies of the ICP34.5 coding sequences. It was constructed by cotransfecting rabbit skin cells with intact DNA of HSV-20  $1(F)\Delta 305$ , a virus from which a portion of the tk gene was specifically deleted, with the DNA of plasmid pRB3615, which contains the  $\alpha 27$ -tk gene inserted into the  $\gamma_1 34.5$  gene contained in the BamHI S fragment. Recombinants that wer tk+ were then selected on human 143 thymidine kinase minus (TK<sup>-</sup>) cells. The fragment containing the  $\alpha 27$ -tk gene 25 contains downstream from the tk gene: the 5' untranscribed promoter, the transcribed noncoding sequence, and the initiating methionine codon of the glycoprotein H gene. The BstEII site into which the @27-tk fragment was inserted is immediately upstream of the codon 29 of the  $\gamma_1$ 34.5 open 30 reading frame. As a consequence, the initiating codon of glycoprotein H was fused in frame and became the initiating codon of the truncated open reading frame of the  $\gamma_1$ 34.5 gene

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(Fig. 2, line 3). The recombinant s lected for further study, R4002; was shown to contain the  $\alpha 27$ -tk gene insert in both copies of  $\gamma_1 34.5$  gene (Fig. 3, lanes 4) and specified only the predicted truncated product of the chimeric  $\gamma_1 34.5$  gene (Fig. 4, right panel, lane 3). The amounts of the native ICP34.5 protein detected in these and previous studies have been generally low. The chimeric genes formed by the fusion of the 5' transcribed noncoding region and the initiating codon of glycoprotein H in frame with the truncated  $\gamma_1 34.5$  gene were expressed far more efficiently than the native genes.

- 2) The recombinant virus R3617 (Fig. 2, line 5 from the top) lacking 1 kb of DNA in each copy of the  $\gamma_1$ 34.5 gene was generated by cotransfecting rabbit skin cells with intact R4002 DNA and the DNA of plasmid pRB3616. 15 plasmid, the sequences containing most of the coding domain of  $\gamma_1$ 34.5 has been deleted (Fig. 2, line 5 from top).  $tk^-$  progeny of the transfection was plated on 143TK cells overlaid with medium containing bromodeoxy uridine (BrdU). This procedure selects tk viruses, and since the tk gene 20 is present in both copies of the  $\gamma_1$ 34.5 gene, the selected progeny of the transfection could be expected to contain deletions in both copies. The selected  $tk^-$  virus designated as R3617 was analyzed for the presence of the deletion in both copies of the  $\gamma_1 34.5$  gene. For assays of 25 neurovirulence, the deletion in the native tk gene of R3617, which traces its origin from HSV-1(F)△305, had to be repaired. This was done by cotransfection of rabbit skin cells with intact R3617 DNA and BamHI Q fragment containing the tk gene. The virus selected for tk+ phenotype in 30 143TK cells was designated R3616. This virus contains a wild-type BamHI Q fragment (Fig. 3, right panel, lane 6) and does not make ICP34.5 (Fig. 4, right panel).
- 3) To ascertain that the phenotype of R3616 inde d reflects the del tion in the  $\gamma_1$ 34.5 gene, the deleted

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sequences were r stored by cotransfecting rabbit skin cells with intact R3617 DNA, the HSV-1(F) BamHI Q DNA fragment containing the intact tk gen , and th BamHI SP DNA fragment containing the intact  $\gamma_134.5$  gene in the molar ratios of 1:1:10, respectively. Viruses that were  $tk^+$  wer then selected in 143TK<sup>-</sup> cells overlaid with medium containing hypoxanthine, aminopterin, and thymidine. The  $tk^+$  candidates were then screened for the presence of wild-type tk and  $\gamma_134.5$  genes. As expected, the selected virus designated HSV-1(F)R (Fig. 2, line 6) contained a wild-type terminal long component fragment (compare Fig. 3, left panel, lanes 2, 7, and 8), and expressed ICP34.5 (Fig. 4, right panel, lane 6).

To eliminate the possibility that the phenotype 4) of R3616 reflects deletion in cryptic open reading frames, 15 a virus was constructed (R4010, Fig. 2, line 7 from the top) that contains translational stop codons in all three reading frames in the beginning of the ICP34.5 coding The 20-base oligonucleotide containing the sequence. translational stop codons and its complement sequence (Fig. 20 2) were made in an Applied Biosystems 380D DNA synthesizer, mixed at equal molar ratio, heated to 80°C, and allowed to cool slowly to room temperature. The annealed DNA was inserted into the HSV-1(F) BamHI S fragment at the BstEII 25 The resulting plasmid pRB4009 contained a stop codon inserted in the beginning of the ICP34.5 coding sequence. The 20 nucleotide oligomer DNA insertion also contained a Spe I restriction site, which allowed rapid verification of the presence of the insert. To generate the recombinant virus R4010, rabbit skin cells were cotransfected with th 30 intact DNA of R4002 and the pRB4009 plasmid DNA. Recombinants that were tk were selected in 143TK cells in medium containing BrdU. The tk+ version of this virus, designated R4009, was generated by cotransfection of intact  $tk^-$  R4010 DNA with HSV-1(F) BamHI Q DNA fragment, and 35 selection of tk+ progeny. The virus selected f r

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neurovirulence studies, R4009, contained the Spe I restriction endonuclease cleavage site in both BamHI S and SP fragments (compare Fig. 3, left panel, lanes 9 and 10) and did not express ICP34.5 (Fig. 4, right panel, lane 7).

5 R4004 (Fig. 2, last line) was a recombinant virus 5) produced by insertion of a sequence encoding 16 amino acids. This sequence has been shown to be the epitope of the monoclonal antibody H943 reactive with a viral protein designated as ICP4. Hubenthal-Voss et al., J. Virol., 62: 454 (1988). The virus was generated by cotransfecting 10 intact R4002 DNA and the DNA of plasmid pRB3976 containing the insert, and the selected  $tk^-$  progeny was analyzed for the presence of the insert. For neurovirulence studies, its tk gene was restored (recombinant virus R4003) as described above. The DNA sequence was inserted in frame at 15 the Nco I site at the initiating methionine codon of the  $\gamma_1$ 34.5 gene. The insert regenerated the initiating methionine codon and generated a methionine codon between the epitope and the remainder of ICP34.5. Because of the additional amino acids, the protein migrated more slowly in 20 denaturing polyacrylamide gels (Fig. 4, right panel, lane 4).

Plaque morphology and size of all of the recombinants were similar to those of the wild-type parent, HSV-1(F) when plated on Vero, 143TK, and rabbit skin cells lines. Whereas HSV-1(F)R and R4003 replicated as well as the wild-type virus in replicate cultures of Vero cells, the yields of R3616 and R4009 were reduced to one-third to one-fourth the amount of the wild type. Although ICP34.5 was not essential for growth of HSV-1 in cells in culture, the results of the studies shown in Table 1 indicate that the deletion or termination of translation of the  $\gamma_1$ 34.5 has a profound effect on the virul nc of the virus. Thus, all f the mice inoculated with th highest concentration [1.2 x  $10^6$ 

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plaque-f rming units (PFU)] of R3616 survived. In the case of R4009, only three of ten mice died as a result of inoculation with the highest concentration of virus ( $\sim$ 107 PFU). In comparison with other deletion mutants, R3616 and R4009 rank among the least pathogenic viruses reported to date. The virus in which the  $\gamma_1$ 34.5 gene was restored exhibited the virulence of the parent virus.

TABLE 1

Virus in the inoculum	Genotype	PPU/LD <sub>50</sub>
HSV-1(F)	Wild-type partent virus	420
	1000-bp deletion in the $\gamma_134.5$	>1,200,000
	Restoration of $\gamma_1$ 34.5 and tk	130
	Stop codon in $\gamma_1$ 34.5	>10,000,000
R4003	Monoclonal antibody epitope	,
	inserted at the NH, terminal	4,200
	inoculum	HSV-1(F) Wild-type partent virus R3616 1000-bp deletion in the $\gamma_1$ 34.5 HSV-1(F)R Restoration of $\gamma_1$ 34.5 and $tk$ R4009 Stop codon in $\gamma_1$ 34.5

were done on female BALB/C mice obtained at 21 days of age (weight  $\pm$  1.8 g) from Charles River 25 Breeding Laboratories in Raleigh, North Carolina. The viruses were diluted in minimum essential medium containing Earle's salts and 10% fetal bovine serum, penicillin, and gentamicin. mice were inoculated intracerebrally in the right cerebral hemisphere with a 26-gauge needle. The 30 volume delivered was 0.03 ml, and each dilution of virus was tested in groups of ten mice. The animals were checked daily for mortality for 21 days. The LD50 was calculated with the aid of the "Dose effect Analysis" computer program from 35 Elsevier Biosoft, Cambridge, United Kingdom.

The wild-type virus and all of the recombinants have identical surface glycoproteins necessary for attachment and penetration into brain cells. Injection of 10' PFU int the brain should result in infection and death of a significant number of the brain cells. Death after intracerebral inoculation results from viral replication, spread from cell to cell, and cell destruction before the immune system has a chance to act. Titrations of brain tissue suspended in minimal essential medium containing 10 Eagle's salts and 10% fetal bovine serum showed that the brains of animals inoculated with the viruses that failed to make ICP34.5 contained very little virus. Thus, for the R3616 and R4009 viruses, the recovery was 120 and 100 PFU per gram of brain tissue, respectively. Given the amount 15 of virus in the inoculum (highest concentration tested), it is not clear whether the small amounts of recovered virus represent a surviving fraction of the inoculum or newly replicated virus. In contrast, the amounts of virus recovered from mice inoculated with HSV-1(F)R and R4003 20 were 6 x 10°, respectively. These results indicate that the failure of the two recombinant viruses to cause death must be related to poor spread of virus in neuronal tissue as a consequence of the inability of mutant viruses to replicate in the CNS, reflecting a reduction in their host range.

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In the course f studies d sign d t determin th function of the  $\gamma_1$ 34.5 gene product, it was dis over d that infection of c lls f n uronal rigin with mutants incapable of expressing the  $\gamma_1$ 34.5 gene resulted in shutoff of cellular protein synthesis, whereas infection of cells of non neuronal origin with wild type or mutant viruses resulted in sustained protein synthesis and production of infectious progeny.

### EXAMPLE 1 - IMPACT OF $\gamma_1$ 34.5 EXPRESSION ON PROGRAMMED CELL DEATH

#### Materials and Methods

Cells Vero cells originally obtained from ATCC were propagated in DME media containing 5% calf serum. The human SK-N-SH neuroblastoma (NB) cell line was obtained from ACTT (HTB11) and propagated in Dulbecco's modified Eagle's medium containing 10% fetal bovine serum.

Viruses The isolation of herpes simplex virus 1 strain F, [HSV-1(F)] has been described by Ejercito et al. (J. Gen. Virol., 2: 357-364 (1968) (incorporated herein by reference). The construction of recombinant viruses R3616, R4009, and HSV-1(F) was reported by Chou et al. (Science, 250: 1262-1266, November 30, 1990) (incorporated herein by reference). As illustrated in Fig. 5, R3616 contains a 1 Kbp deletion in both copies of the  $\gamma_1$ 34.5 gene. In the recombinant R4009 a stop codon was inserted in both copies of the  $\gamma_1$ 34.5 gene. The  $\gamma_1$ 34.5 genes in the recombinant R3616 were restored to yield the recombinant HSV-1(F)R.

Virus Infection Cells were generally exposed to the viruses for 2 h at 37°C at multiplicity of infection of 5 and then removed and replaced with the 199v media containing 1% calf serum. The infection continued at 37°C for a length of tim as indicat d for ach experiment.

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Cells were then either labeled f r <u>de novo</u> pr tein synthesis or analysis of viral DNA and RNA.

infection, 50uCi of "S-methionine (specific activity >1,000 Cimmole, Amersham Co., Downers Grove, IL) was added to 1ml of 199v media lacking methionine to cells in 6 well dishes. Labeling was continued for 1.5 hr, at which time cells wer harvested. Preparation of cell extracts; separation of proteins by electrophoresis in denaturing polyacrylamide gels crosslinked with N,N' Diallytartardiamide (Bio-Rad Laboratories, Richmond, CA); transfer of polypeptides to nitrocellulose sheets; autoradiography and immunoblot with antibodies have been described by Ackermann et al., J. Virol., 52: 108-118 (1984) (incorporated herein by reference).

#### Results

HSV-1 recombinant viruses lacking the \(\gamma\_134.5\) gene induce the shut off protein synthesis in neuroblastoma In the course of screening human cell lines derived from CNS tissues it was apparent that the SK-N SH neuroblastoma cell lines produced 100 fold less mutant viruses than the fully permissive Vero cells. In was also noted, as shown in Fig. 6, that the SK-N-SH neuroblastoma cells infected with R3616 or with R4009 exhibited reduced protein synthesis in cells harvested at 7 hours (left panel) and ceased to incorporate "S-methionine by 13 hours (right panel) post infection. The phenomenon was observed in SK-N-SH neuroblastoma cells only, and could be attributed specifically to the mutations in the  $\gamma_1 34.5$  gene inasmuch as restoration of the deleted sequences yielded a virus [HSV-1(F)R] which expressed viral proteins at 13 hour post infection (Fig. 6, right panel) and exhibited the parental, wild type phenotype.

The shut off of pr tein synthesis occurred after the expression of a genes. Viral genes form thr e maj r gr ups whose expression is coordinately regulated and sequentially ordered in a cascade fashion. See Roizman and Sears, 5 Fields' Virology, 2 ed., Fields et al., Eds, 1795-1841 (1990). The a genes do not require de novo protein synthesis for their expression, the  $\beta$  genes which are required for the synthesis of viral DNA require prior synthesis of functional  $\alpha$  and  $\beta$  proteins and the onset of viral DNA synthesis. To determine the point at which 10 expression of viral gene functions was terminated in SK-N-SH neuroblastoma cells infected with mutant viruses, infected cell lysates electrophoretically separated in denaturing polyacrylamide gels were transferred to a nitrocellulose sheet and probed with antibody to an  $\alpha$ 15 (227), a  $\beta$  (viral thymidine kinase) and two abundant  $\gamma$ proteins. Roller and Roizman (J. Virol., 65: 5873-5879 (1991) have shown that the latter were the products of  ${\tt U_126.5}$  and of  ${\tt U_111}$  genes whose expression at optimal levels requires viral DNA synthesis. As shown in Fig. 7, the SK\*\* 20 N-SH neuroblastoma cells infected with the mutant viruses made normal amounts of a27 protein (left panel), reduced amounts of the thymidine kinase  $(\beta)$  protein (middle panel), but no detectable  $\gamma$  proteins (left and right panels). contrast, both the wild type and mutant viruses could not 25 be differentiated with respect to their capacity to replicate or to direct the synthesis of their proteins in Vero cells (Fig. 7).

The signal for shut off of protein synthesis is linked

to viral DNA synthesis. These experiments were designed to
determine whether the shutoff of protein synthesis was
linked to a gene whose expression was dependent on viral
DNA synthesis. The results of a key experiment are shown
in Fig. 8. Replicate SK-N-SH and Vero cell cultures were

infect d with HSV-1(F) and r combinant viruses and
maintained in the presence or absence of inhibitory

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c ncentrati ns f phosph noacetate, a drug which blocks viral DNA synthesis. The cells were pulse labeled with sumethionine at 13 h post infection. The salient feature of the results were that protein synthesis in SK-N-SH cells infected with either R3616 or R4009 was sustained for at least 13 h in the presence of Phosphonoacetate but not in its absence. These results indicted that the signal for cessation of protein synthesis in SK-N-SH neuroblastoma cells infected with mutant viruses was associated with viral DNA synthesis or with a  $\gamma$  gene dependent on viral DNA synthesis for its expression.

Human neuroblastoma cells infected with the 7,34.5 mutants synthesized viral DNA and accumulated late mRNA even though the shut off of protein synthesis precluded accumulation of late proteins. The evidence presented 15 above indicated that in SK-N-SH neuroblastoma cells an event associated with viral DNA synthesis triggered the shut off of protein synthesis and that the late  $(\gamma)$  viral proteins did not accumulate. We expected, therefore, little or no accumulation of viral DNA and in the absence 20 of viral DNA synthesis, little or no accumulation of late  $(\gamma)$ ) viral transcripts whose synthesis is dependent on viral DNA synthesis. To our surprise, the amounts of viral DNA recovered from SK-N-SH neuroblastoma cells 17 hours post infection with mutant viruses were comparable to those 25 obtained from wild type parent or repaired [(HSV-1)F)R] viruses (Fig. 9, left panel). Furthermore, while the SK-N-SH neuroblastoma cells did not synthesize demonstrable amounts of U,11 protein, the amounts of U,11 gene transcripts which accumulated in cells infected with mutant 30 and wild type viruses were of similar magnitude (Fig. 9 right panel).

The significance f these results stems from thr observations. First, in infected cells, protein synth sis refl cts a regulat ry cascade; a protein synthesis is

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r plac d by  $\beta$  and later by  $\gamma$  protein synthesis. In all c ll lines 'ther than th SK-N-SH n uroblastoma c lls infected with the  $\gamma_1$ 34.5 mutants and t st d to date, a block in the synthesis of one group of proteins does not lead to a cessation of total protein synthesis. For example, in 5 cells treated with inhibitors of DNA synthesis like PAA, a subclass of  $\gamma$  proteins dependent for their synthesis on viral DNA synthesis is not made. However, in these cells,  $\beta$  protein synthesis continues beyond the time of their synthesis in untreated infected cells. The striking observations made in the studies on SK-N-SH cells infected with the  $\gamma_1 34.5$  null mutants are that (i) all protein synthesis ceased completely, (ii) viral DNA was made and (iii)  $10^{-6}$ ,  $\gamma$  mRNA exemplified by U,11 mRNA was made even though protein synthesis ceased. These manifestations for viral replication have not been reported previously and are not characteristic of cells infected with wild type virus or any mutant virus infection of cells (e.g. Vero, HEp-2, baby hamster kidney, 143tk- and rabbit skin cell lines and human embryonic lung cells strain) other than those described in this report.

Second, the function of the  $\gamma_1 34.5$  gene is to overcome this block in protein synthesis in SK-N-SH cells since repair of the mutation restores the wild type phenotype.

25 Lastly, while the association of cessation of protein synthesis with the onset of viral DNA replication does not exclude the possibility that a product made after infection is responsible of the shut off, the data does support the hypothesis that the cessation of protein synthesis is specifically caused by a known viral gene product -30 interacting with the protein synthesizing machinery of th cell. For example, it has been well established that the product of the HSV-1 gene designated a vhs can shut off cellular protein synthesis aft r infecti n. whs is a structural protein of th virus and is introduced into 35

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c lls during infecti n. It destabilizes mRNA early in infection and its effects are not dependent on viral gene products made after infection. In the experiments set forth above, protein synthesis of wild type and mutant viruses could not be differentiated at 13 hours post infection in cells treated with Phosphonoacetate and hence the phenotype of mutant viruses cannot be attributed to the vhs gene product. This conclusion is reinforced by the observation that viral protein synthesis in SK-N-SH cells was not affected by increasing the multiplicity of infection with wild type virus to values as high as 100 pfu/cell (data not shown). A more likely source for the genetic information for the cessation of protein synthesis is the cell itself.

15 It has been reported that deprivation of growth factors from cells of neuronal origins results in programmed cell death, which manifests itself initially by the cessation of protein synthesis and subsequently by fragmentation of DNA. Apoptosis in lymphocytes is manifested by degradation of DNA. In the case of other 20 herpes viruses, it has been shown that in B lymphocytes infected with the Epstein-Barr virus, the product of the viral LMP-1 gene induces the host gene Bc1-2 which precludes programmed lymphocyte death (Henderson et al, Cell 65: 1107-1991. Thus, it is apparent that the onset of 25 viral DNA synthesis in neuronal cells triggers programmed cell death by cessation of protein synthesis and that HSV-1  $\gamma_1$ 34.5 gene precludes this response.

The evolution of a HSV-1 gene which would preclude a response to a neuronal stress is not surprising. Infection of neurons, especially sensory neurons, is an essential feature of viral reproductive lifestyle which enables the HSV-1 to remain latent and to survive in human populati ns. If, as we propos , the function of  $\gamma_1$ 34.5 g n is to preclud cell death, th target of the gene would b

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neurons rather than lymphocyt s sinc HSV normally infects nerve cells.

The  $\gamma_1$ 34.5 gene has several unusual features. The gen lacks a conventional TATAA box or response elements 5 frequently associated with TATAA-less transcriptional units. The sequence which enables the expression of the gene is 12 bp long but repeated as many as 3 times in the wild type strain used in this laboratory. Various assays reported elsewhere indicate that the amounts of gene products produced in cells of non neuronal derivation are smaller than those expressed by most viral genes and that the amounts of the protein made in the absence of viral DNA synthesis were smaller than those made in its presence. The gene is predicted to encode a protein of 263 amino acids. It contains the triplet Ala-Thr-Pro repeated 10 time and accumulates in the cytoplasm. A recent note indicates that 63 amino acid residues near the carboxyl terminus of the  $\gamma_1$ 34.5 protein shares 83% identity with a mouse protein MyD116 found in a myeloid leukemic cell lin induced to differentiate by interleukin 6 (Lord et al., Nucleic Acid Res. 18: 2823, 1990). The function of MyD116 is unknown. The results presented above demonstrate that the product of the  $\gamma_1$ 34.5 gene, the protein ICP34.5, quite clearly enables sustained protein synthesis in SK-N-SH neuroblastoma cells, and it is clear that the gene's expression is sufficient to preclude apoptosis.

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The promoter-regulatory elements essential for the expresion of  $\gamma_1 34.5$  are contained within three elements of the g sequence, i.e. the direct repeats DR2 and DR4 and the unique U<sub>b</sub> sequences. Gel retardation assays failed to show binding of the product of the a4 gene encoding the major regulatory protein of the virus to any of the elements regulating expression of the  $\gamma_1 34.5$  gen . In transient expression assays, the product f the a4 r of a0 gen s fail d to transactivat a chim ric reporter g n consisting

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of the c ding sequences of th thymidine kinas g ne fused to the 5' non-coding sequences of th  $\gamma_134.5$  gen . The reporter gene was induced, but to a relatively low level by co-transfection with plasmids containing both  $\alpha4$  and  $\alpha0$  genes. The plasmid encoding the  $\alpha27$  gene had no effect on the expression of the chimeric reporter gene transfected alone although it reduced the induction of the chimeric gene by plasmids containing the  $\alpha0$  and  $\alpha4$  genes.

## EXAMPLE 2 - TREATMENT OF PROGRAMMED CELL DEATH (APOPTOSIS) WITH GENE THERAPY

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In this example,  $\gamma_1$ 34.5 gene therapy directed toward the prevention or treatment of apoptosis is described. the purposes of this example mutated HSV-1 virus is proposed as a vector for introduction of the gene into neuronal cells undergoing or about to undergo programmed cell death. It is also envisioned that this embodiment of the present invention could be practiced using alternative viral or phage vectors, including retroviral vectors and vaccinia viruses whose genome has been manipulated in alternative ways so as to render the virus non-pathogenic. The methods for creating such a viral mutation are set forth in detail in U.S. Patent No. 4,769,331, incorporated herein by reference. Furthermore, it is also envisioned that this embodiment of the present invention could be practiced using any gene whose expresion is beneficial in gene therapy, and use of the non-HSV viruses would allow gene therapy in non-neural systems.

Herpes simplex virus has a natural tropism for human CNS tissue. Under wild type conditions, the virus is capable of replicating and multiplying in the nervous system and is neurovirulent. The virus can also establish latent infection in the neurons and can be occasionally reactivated. T establish a vector system for delivery of g nes into n urons, the pr posed construt f an HSV vector must satisfy the f llowing criteria: 1. Such a vector

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should have a natural tropism for CNS and brain tissue. 2 Such a vector should be non-pathog nic; that is, totally avirulent and not r activatable to caus an infection. 3. Such a vector should consist of constitutive expression f  $\gamma_1$ 34.5 to prevent cell death in cells undergoing neurodegeneration. 4. Such a vector so proposed in 3 is suitable for additional foreign gene insertion for gene therapy.

#### Material and Methods

A HSV vector with a mutational lesion in the α4 gene is constructed. The proposed virus will no longer be able to replicate, multiply and reactivate from latent infection in the CNS. The virus can, in the absence of α4 gene, establish a latent infection in the neuron. This virus can be obtained by co-transfection of viral DNA with plasmid containing a α4 expressing cell line. α4 expressing cell lines and the virus have been reported previously. DeLuca et al., J. Virol., 56: 558-570 (1985).

Additionally, such an HSV vector with  $\gamma_1 34.5$  gene under a constitutive expression promoter is also envisioned. This constitutive expression promoter can be the HSV LAT promoter, the LTR promoter of retrovirus or any other foreign promoter specific for naural gene expression. Such a viral vector properly introduced is suitable for prevention of cell death in neuronal cells undergoing apoptosis.

Moreover, such an HSV vector with foreign genes inserted at a neutral location on the viral genome is suitable for delivery of foreign genes into target neurons and for CNS gene therapy. The procedures to generate the above recombinant viruses are those published by Post and Roizman (Cell, 25: 227, 1991) incorporated herein by reference. See also U.S. Patent No. 4,769,331, incorporated herein by reference.

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'In instanc s wher us of the mutated HSV-1 virus is appropriate the virus can be combined with a pharmaceutically acceptable carrier such as buffered salin and injected at the site of peripheral nerve endings whos axons originate from neural cell bodies undergoing or about to undergo apoptosis. As will be recognized by those skilled in the medical arts the amount of virus administered will vary depending upon several factors, including the vector's ability to target the cells requiring treatement, the extent to which the gene is expressed in the target tissue, and the activity of the expressed protein, among others. An innoculum containing approximately 104 - 105 viruses in phosphate buffered saline or skim milk has produced successful results in mice. Virus so injected is taken up into the peripheral nerve endings and is then transported via retrograde axonal transport to the neuronal cell bodies. In instances where such peripheral injection is not useful or appropriate, localized intraspinal or intraventricular injection, or direct microinjection of the virus could be utilized.

An appropriately altered non-HSV virus, one with a genome manipulated in such a way as to render the virus non-pathogenic, could be used in a similar manner. Direct microinjection or peripheral injection for delivery to the cell body via retrograde axonal transport are options for viral delivery. Finally, it should also be noted that a biological functional equivalent gene could be utilized for gene therapy in any vector described in this, example.

#### EXAMPLE 3 - USE OF MULTI-POTENT NEURAL

#### CELL LINES TO DELIVER THE 7,34.5 GENE TO THE CNS

In addition to the viral vector delivery system to CNS and brain tissue, another v ctor system has been d vel ped recently using c ll lines passaged in vitro and engrafting these cells back to the animal. These proc dures involv

taking c lls f fetal or postnatal CNS origin, immortalizing and transforming them in vitro and transplanting the c lls back into the m us brain. cells, after engraftment, follow the migration pattern and environmental cue of normal brain cell development and differentiate in a nontumorigenic, cytoarchitecturally appropriate manner. This work has been examplified in several articles notably Snyder et al., Cell, 68: 33-51, 1992 and Ranfranz et al., Cell, 66: 713-729, 1991. 10 Utilizing appropriately modified techniques, it is possible to introduce the  $\gamma_1$ 34.5 gene alone or in combination with other genes of interest into the cells and engraft. Such a procedure allows the delivery of the genes to its natural Proper expression of the  $\gamma_134.5$  gene in these neurons 15 should result in prevention of cell death in neurodegeneration and preserving cells carrying foreign genes suitable for gene therapy.

#### Materials and Methods

Propagation of Cerebellar Cell Lines Cerebellar cell
lines are generated as described by Ryder et al. (J.
Neurobiol. 21: 356-375, 1990). Lines are grown in
Dulbecco's modified Eagle's medium supplemented with 10%
fetal calf serum (Gibco), 5% horse serum (Gibco), and 2mM
glutamine on poly-L-lysine (PLL) (Sigma) (10 μgml)-coated
tissue culture dishes (Corning). The lines are maintained
in a standard humidified, 37°C, 5% CO<sub>2</sub>-air incubator and are
either fed weekly with one-half conditioned medium from
confluent cultures and one-half fresh medium or split (1:10
or 1:20) weekly or semiweekly into fresh medium.

30 Transduction of Cerebellar Progenitor Lines with  $\gamma_1$ 34.5 Gene

A recent 1:10 split of the cell line of interest is plated onto 60 mm tissue culture plat s. Betw en 24 and 48 hr after plating, the cells ar incubated with the

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replicati n-inc mpetent retroviral vector BAG containing the -myc gene (106 - 107 c lony-forming units [cfu]/ml) plus 8  $\mu$ g/ml polybrene for 1-4 hr for introduction of the  $\gamma_1$ 34.5 gene alone or in combination with other suitable genes for 5 gene therapy, along with the neomycin G418 marker. are then cultured in fresh feeding medium for approximately 3 days until they appear to have undergone at least two doublings. The cultures are then trypsinized and seeded at low density (50-5000 cells on a 100 mm tissue culture dish). After approximately 2 weeks well-separated colonies 10 are isolated by brief exposure to trypsin within plastic cloning cylinders. Colonies are plated in 24-well PLLcoated CoStar plates. At confluence, these cultures are passaged to 60 mm tissue culture dishes and expended. A 15 representative dish from each subclone is stained directly in the culture dish using X-gel histochemistry (see Price et al., 1967; Cepko, 1989a, 1989b). The percentage of blue cells is determined under the microscope. Subclones with the highest percentage of blue cells (ideally >90%; at 20 least >50%) are maintained, characterized, and used for transplantation.

Tests for Virus Transmission The presence of helper virus is assayed by measurement of reverse transcriptase activity in supernatants of cells lines as described by Goff et al. (1981) and by testing the ability of supernatants to infect NIH 3T3 cells and generate G418-resistant colonies of X-gal\* colonies (detailed in Cepko, 1989a, 1989b). All cerebellar cell lines used for transplantation are helper virus-free as judged by these methods.

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Coculture of Neural Cell Lines with Primary Cerebellar Tissue Primary dissociated cultures of neonatal mouse cerebellum are prepared as in Ryd r et al. (1990) and seeded at a density of 2  $\times$  10 $^6$  to 4  $\times$  10 $^6$  c lls per PLL-coated eight-chamber Lab Tek glass r plastic slide

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(Miles). After the cells settl d (usually 24 hr), 10% of a n arly confluent 10 cm dish of the n ural cell line f interest is seeded, following trypsinization, onto the slide. The coculture is re-fed every other day and grown in a 50% CO2-air, humified incubator until 8 or 14 days of coculture.

Preparation of Cells Lines for Transplantation Cells from a nearly confluent but still actively growing dish of donor cells are washed twice with phosphate-buffered saline (PBS), trypsinized, gently triturated with a wide-bore 10 pipette in serum-containing medium (to inactivate the trypsin), gently pelleted (1100 rp for 1 min in a clinical centrifuge), and resuspended in 5 ml of PBS. Washing by pelleting and resuspension of fresh PBS is repeated twic , with the cells finally resuspended in a reduced volume of 15 PBS to yield a high cellular concentration (at least 1  $\times$  106 cells per  $\mu$ l). Trypan blue (0.05% w/v) is added to localize the inoculum. The suspension is kept well triturated, albeit gently, and maintained on ice prior to transplantation to minimize clumping. 20

Injections into Postnatal Cerebellum Newborn CD-1 r CF-1 mice are cryoanesthetized, and the cerebellum is localized by transillumination of the head. Cells are administered either via a Hamilton 10  $\mu$ l syringe with a beveled 33-gauge needle or a drawn glass micropipette with 25 a 0.75 mm inner diameter and 1.0 mm outer diameter generated from borosilicate capillary tubing (FHC, Brunswick, ME) by a Flaming Brown Micropipette Puller (Model p-87, Sutter Instruments) using the following parameters: heat 750, pull 0, velocity 60, time 0. Best 30 results are achieved with the glass micropipette. The tip is inserted through the skin and skull into each hemisphere and vermis of the cerebellum where the cellular suspension was injected (usually 1-2  $\mu$ l per injection). Typically, the following situation should exist:  $1 \times 10^7$  cells p r ml

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of suspensi n;  $\times$  10<sup>6</sup> to 2  $\times$  10<sup>6</sup> c lls per injection; ne injecti n in ach cerebellar hemispher and in th vermis. Importantly, the cellular suspension, maintained on ice throughout, is gently triturated prior to each injection in order to diminish clumping and to keep cells suspended.

The injection of BAG virus was performed as described for the cell suspension. The BAG virus stock (8 x  $10^7$  G418-resistant cfu/ml) contained, in addition to trypan blue, polybrene at 8  $\mu$ g/ml.

# EXAMPLE 4 - TREATMENT OF PROGRAMMED CELL DEATH (APOPTOSIS) WITH ICP34.5

As an alternative to the gene therapy methods described for exemplary purposes in Examples 2 and 3, neuronal cells undergoing or about to undergo programmed cell death can also be treated with the protein expressed by the  $\gamma_1 34.5$  gene, i.e. ICP34.5. Alternatively, a biological functional equivalent protein could be used in such treatment.

For example, ICP34.5 is isolated from cells expressing the protein and purified using conventional chromatography 20 purification and immunoaffinity purification methods described by Ackerman et al. (J. Virol. 58: 843-850, 1986, incorporated herein by reference). The purified protein is next combined with a pharmaceutically appropriate carrier, such as buffered saline or purified distilled water. For 25 administration, the pharmaceutical composition can be injected in one of several ways, as appropriate: intraspinal injection; (ii) intraventricular injection; (iii) direct injection into the area containing the neurons undergoing or about to undergo programmed cell death or any 30 other appropriate method of administration understood by those skilled in the art. Such treatment would b particularly appr priate in the surgical r pair of severed peripheral nerves, and the use of proteins as therapeutic

agents is well within the current level of skill in th medical arts in light of the present sp cificati n.

## EXAMPLE 5 - ASSAYS FOR CANDIDATE SUBSTANCES FOR PREVENTION OF PROGRAMMED CELL DEATH (APOPTOSIS)

5 The  $\gamma_1$ 34.5 gene of herpes simplex virus enables the virus to replicate, multiply and spread in the central nervous system and the brain so that the virus is neurovirulent to the host. Recombinant virus lacking the gene lost this ability to penetrate the CNS of the host and become totally avirulent. In examining the nature of this 10 avirulent phenotype in culture, the mutant virus lacking the gene exhibited a total translation shutoff phenotype characteristic of programmed cell death. This mechanism of programmed cell death afforded by the host cell greatly reduced the ability of the virus to multiply and spread. 15 The function of  $\gamma_1 34.5$  in the virus therefore is to inactivate the programmed death of the cell (antiapoptosis) thereby restoring translation and enabling the virus to replicate to full potential in the host.

20 This anti-apoptotic effect of  $\gamma_1$ 34.5 was further examined and its ability to protect neural cells from other environmental stresses which lead to apoptosis was discovered. These environmental stresses include UV, nerve grown factor deprivation and neuronal cell differentiation. This Example describes the use of the  $\gamma_1 34.5$  gene and its 25 protective function to screen for pharmaceutical agents and drugs that mimic the in vivo function of  $\gamma_1 34.5$  to prevent neurodegeneration. Such a screening procedure constitutes construction of cell lines expressing  $\gamma_1 34.5$  and a null cell line without the gene and measurement of the viability of 30 the cells after stress treatment by induction of a reporter gene. This can be a host gene promoter tagged by a flu rescence indicator or any oth r easily assayable marker t signal viability.

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#### Materials and Meth ds

A test neuroblastoma cell line is established constitutively expressing  $\gamma_1 34.5$  and containing a fluorescence tagged (e.g., the a sequence promoter fused to 5 lacZ) cellular gene, or any tag that provides the easily assayable marker to signal viability. A neuroblastoma null cell line consisting of a-lacZ indicator gene and the same host indicator gene is also established, along with a Vero cell line consisting of a-lacZ indicator gene and the same host indicator gene. Environmental stresses are then 10 applied that normally would (1) trigger the a sequence promoter activation; (2) trigger the protection afforded by  $\gamma_1$ 34.5 as signaled by viability after stress treatment; and (3) trigger cell programmed death in the absence of  $\gamma_1$ 34.5. 15 Candidate substances of pharmaceutically appropriate drugs and agents can be tested in such an assay. The proposed scheme of the assay for scoring of positive candidates is shown in outline form in Table 2.

#### TABLE 2

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## EXPERIMENTAL FLOW CHART: ASSAY FOR CANDIDATE SUBSTANCE ABLE TO PREVENT PROGRAMMED CELL DEATH

-		CELL LINES	ACTION	EXPECTATION
25	A.	neuroblastoma cells constitutively express $\gamma_1 34.5$ and a second inducible promoterindicator gene	stress followed by induction of second promoter	viability as measured by induction of a reporter gene hours after stress
30	В.	neuroblastoma cells expressing a-lacZ and a second inducible promoter	stress followed by induction of second promoter	1. apoptosis related stress: a-lacZ induc d; second promoter not induced
35				2. toxicity: no induction f a-lacZ and the second induc- ibl pr moter

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C. vero c lls,
 expr ssing a-lacZ
 and a second inducible
 promoter gene

str ss follow d by induction

1. <u>a-lac2</u> not induced

2. toxicity factor excluded, determined from expression of inducible second promoter

EXAMPLE 6 - ASSAY FOR CANDIDATE SUBSTANCES FOR
ACTIVATION OF PROGRAMMED CELL DEATH
(APOPTOSIS) FOR TREATMENT OF CANCER OR
TUMOROGENIC DISEASES AND FOR SUPPRESSION OF HSV INFECTION

In order to induce cell death in tumor cells, it is desirable to block the expression of the anti-apoptosis 15 gene or the activity of the protein expressed by the gene. As such, it is desirable to develop procedures that will allow screening for candidate substances which trigger e ll death in tumor cells. In addition, since expression of th  $\gamma_1$ 34.5 gene of HSV-1 has been shown to prevent apoptosis in 20 neuronal cells so that the virus can replicate, multiply and spread in the CNS (that is, so that the virus can become neurovirulent), a substance capable of blocking  $\gamma_1$ 34.5 expression or inhibiting the action of ICP34.5 can be expected to supress HSV neurovirulence (or the virulence of 25 other viruses relying on a similar mechanism) by allowing apoptosis to occur in infected neurons.

It has been found that the protection afforded by  $\gamma_1 34.5$  can be extended to protect other cells from environmental stresses, and indeed the gene has a generalized anti-apoptotic effect. The promoter for the gene  $\gamma_1 34.5$  lies in the g sequence of HSV and, at time of stress, the promoter is activated. It can be assumed that the g sequence promoter contains apoptosis responsive elements and cellular factors (transcription factors in particular) that mediate the expression of anti-apoptosis gene are apoptotic in nature. These cellular fact rs are therefore the targets of the present assay to screen for drugs or agents that would inactivat their ability to

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induce the anti-apoptosis gene. The assay involves the use of the a sequence promoter and its inducibility by conditions which induce apoptosis as an indicator assay which screens for therapeutic agents and drugs capable of blocking the expression of the anti-apoptosis gene and therefore allow the cell to die of programmed cell death.

A test plasmid construct bearing the a sequence and coding sequence up to the 28th amino acid of  $\gamma$ ,34.5 is fused to the lacZ reporter gene or any other readily assayable reporter gene. The construct is introduced into a neuroblastoma or PC12 cell line by G418 selection and a clonal and continuous cell line for screening purposes is established. A control plasmid construct bearing an HSV late promoter, a promoter which would normally not be expressed in cell lines and which further would not be induced to express by apoptosis-inducing stress is fused & the same indicator gene. This construct is also introduced into a continuous clonal cell line and serves as a control for the test cell line. Environmental stresses that trigger the a sequence promoter activation and that cause programmed cell death are then defined. These conditions include UV injury, virus infection, nerve growth factor deprivation, and the influence of antibodies on cell surface receptors, among others. Candidate substances or pharmaceutically appropriate drugs and agents are then tested in assays for their ability to block the a sequence promoter activation at time of stress.

The assay of the present invention allows the screening and identification of pharmaceutically appropriate drugs and agents targeted at various cellular factors that induce the expression of anti-apoptosis gene. By inactivating essential cellular factors, these agents should be able to allow cell programmed death to occur. Such positive candidates would then be appropriately administered (via intravenous, intrathecal, r dir ct injection, or via oral administration) in rder to induce programmed cell death in tum r cells, in n ur ns infected

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with the herpes virus, or in cells infected with a virus the virulence of which is dependent upon an anti-ap ptotic eff ct. The use of proteins and their chemotherapeutic substances in antitumor therapy is well known in the art, and therefore, it is considered that the use and dosages of candidate substances for treatment of tumorogenic diseases (e.g., cancer) or herpes infection is well within the skill of the present state of the medical arts in light of the present specification. See U.S. Pat. Nos. 4,457,916; 4,529,594; 4,447,355; and 4,477,245, all incorporated herein by reference. These positive candidates can also be used to identify intermediates in the pathways leading to cell programmed death.

#### Materials and Methods

15 W5 cel? lines are established in 96 well culture dishes coated with collagen. Control cell lines containing the promoter fusion element are also established in such 96 well dishes. The test candidate substances are added to the medium in individual wells containing both the test and control cell lines set up in 96 well dishes. The cells are 20 then briefly exposed to UV or other stresses. 8 hr post stress induction, cells are washed with PBS-A twice, and fixed with 0.5 ml containing 2% (v/v) formaldehyde and 0.2% glutaraldehyde in PBS for 5 min at room temperature. cells are rinsed again with PBS and then stained with 2ml 25 5mM potassium ferrocyanide, 5mM potassium ferrocyanide, 2mM  $MgCl_2$  and lmg/ml X-gal (diluted from a 40mg/ml stock solution in dimethyl sulfoxide) in PBS. Cells expressing  $\beta$ -Galactosidase were stained blue after incubation at 37°C for 2-3 days. 30

#### Results

The construct described above with the lacZ reporter gene was introduced into PC12 cell line. A new cell line W5 was clonally established by G418 selection. The W5 cell line was then tested for activation of the a sequence promoter under suboptimal c nditions names in 3 abov. The results are: (a) The above clls, when exposed briefly to

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UV for 2 minutes, turn blue upon staining the fixed cells with X-gal at 6-10 hr post UV exposure. (b) The above cells, when exposed to HSV-1(F) virus at multiplicity of infection of 5, also turn blue upon staining at 8 hr post infection. (c) The above cells turn light blue when nerve growth factor (rat, 75) is introduced into the medium to allow differentiation processes. (d) The cells turn darker blue when Nerve Growth Factor is removed from the medium after differentiation is complete and the cells have become dependent on nerve growth factor for survival. (e) Little or no difference in color development is seen in cells starved for serum (0% fetal bovine serum) and those fully supplied in 10% fetal bovine serum. (f) The above experiments are repeated with control promoter fusion elements to control for the true inhibition of antiapoptosis gene expression rather than toxicity-induced cell death. By this procedure, the positive candidates that can induce cell death in cells will therefore render the following phenotypes: (i) Introduction of stress to the test cell line in the absence of this substance will give rise to blue colored cells. (ii) Introduction of stress to the test cell line in the presence of same substance will give rise to white cells.

(iii) Introduction of stress to control cell lines with our without this putative substance will have no effect on the color of cells.

The present invention has been disclosed in terms of specific embodiments which are believed by the inventors t be the best modes for carrying out the invention. However, in light of the disclosure hereby provided, those of skill in the various arts will recognize that modifications can be made without departing from the intended scope of the invention. The exemplary embodiments set forth herein and all other modifications and embodiments are intended to be within the sc pe and spirit of the pr sent invention and the appended claims.

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#### SEQUENCE LISTING

- (1) GENERAL INFORMATION:
  - (i) APPLICANT: Roizman, Bernard Chou, Joany
  - (ii) TITLE OF INVENTION: Methods and Compositions For Gene Therapy, Tumor Therapy, Viral Infection Therapy and Prevention of Programmed Cell Death (Apoptosis)
  - (iii) NUMBER OF SEQUENCES: 35
    - (iv) CORRESPONDENCE ADDRESS:
      - (A) ADDRESSEE: ARNOLD WHITE & DURKEE
      - (B) STREET: 321 North Clark Street (C) CITY: Chicago (D) STATE: Illinois

      - (E) COUNTRY: USA
      - (F) ZIP: 60610
      - (v) COMPUTER READABLE FORM:
        - (A) MEDIUM TYPE: Floppy disk
        - (B) COMPUTER: IBM PC compatible
        - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
        - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
    - (vi) CURRENT APPLICATION DATA:
      - (A) APPLICATION NUMBER: US 07/861.233
      - (B) FILING DATE: 31-MAR-1992
      - (C) CLASSIFICATION:
  - (viii) ATTORNEY/AGENT INFORMATION:

    - (A) NAME: Coolley, Ronald B
      (B) REGISTRATION NUMBER: 27,187
    - (C) REFERENCE/DOCKET NUMBER: arcd049
    - (ix) TELECOMMUNICATION INFORMATION:
      - (A) TELEPHONE: (312) 744-0090
      - (B) TELEFAX: (312) 245-4961
- (2) INFORMATION FOR SEQ ID NO:1:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 133 base pairs
    - (B) TYPE: nucleic acid
    - (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: DNA (genomic)

(xi)	SEQUENCE	DESCRIPTION:	SEQ	ID	NO:1:
TTTAAAGTO	cececec				

(2)	INFORMATION	FOR SEQ	ID	NO:2:
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1:	Ĺ١	SEQUENCE	CHARA	CTERISTIC	25:
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- (A) LENGTH: 133 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: DNA (genomic)

#### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

GCAGCCCGGC	ccccccccc	CGAGACGAGC	GAGTTAGACA	GGCAAGCACT	ACTCGCCTCT	60
GCACGCACAT	GCTTGCCTGT	CAAACTCTAC	CACCCGGCA	CGCTCTCTGT	CTCCATGGCC	120
cecceccec	GCC					133

#### (2) INFORMATION FOR SEQ ID NO:3:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 291 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single

  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

#### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

60	ACCGCACAGT	CGCCGTCCCA	GGCCCACGGG	CGGCCGCCCG	ccecceccc	ATCGCGGCCC
120	GCGGCCGCCC	GAGCGCGCCC	CCGCGGTCAG	AACTCGGAAC	CTCCACGCCC	CCCAGGTAAC
180	CGCCAGTGGC	GCTGCTGCTG	CTTCTTGTTC	GGCCCCCCC	CCCCCCCAGT	CGCCGCCGCC
240	GACAGCCCCC	CGACTGGCCG	ACGATGACGA	TCCGACGACG	CGAGTCCGCG	TCCACGTTCC
291	С	ccccccccc	cccccccc	GCCCGGCCCA	GGCGCCAGAG	CGCCCGAGCC

#### (2) INFORMATION FOR SEQ ID NO:4:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 595 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

#### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

60	CACGCCCCTT	CACCCCCCT	TAACCCCTCC	GGGCGGGC	GCGGGCCCGG	ACCGCCCGGC
120	TGGCGCGCCT	GCAGAGCACC	GCGCGTCACC	CCCTCCGCCT	CCGCGCCTCG	CCGCCTTCCG
180	CCGCGACCCC	CCCGCGACCC	GCCGGAGCCC	GGGAGGGGC	CGCGCGGGCG	GCGCCTGCGA
240	CCCCGCGAC	ACCCCCGCGA	GACCCCCGCG	CGACCCCCCC	GCGACCCCCG	CGCGACCCCC
300	ACCTGGTGGT	CGGGTGCGCC	GCCCCACGTC	TGCGCTTCTC	CCCGCGCGG	CCCCGCGACC
360	GGCCGACCG	GCCCGCGAGC	CGGCTCGTGG	TGGCGCGCCG	GCCGCCCGCC	CTGGGCCTCG
420	GCCTGGGGCC	ATCGGGCCGT	CGAGGCGGTC	TGGCGGAGGC	CGGCGCCGGG	GGCTCGGTTC
480	TCTAACGTTA	GCGAACTCGG	AGCCGGCCCG	TGGCCCGCGG	GCCCGGGCCC	CGAGGCCCGT
540	AGCCGCTCTC	CTCCGCACCA	CTCCCGGGAG	TTCCGCGGAG	GGCCTGGGTC	CACCCGAGGC
. 595	cccc	AGCCGGCCCG	ATACGCTGGG	CCGCGCATAT	ATGGCAGGAG	CGGAGAGACG

#### (2) INFORMATION FOR SEQ ID NO:5:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 207 base pairs

  - (B) TYPE: nucleic acid (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:								
GAGGCGGGCC	CGCCCTCGGA	GGGCGGGACT	GGCCAATCGG	CGGCCGCCAG	CGCGGCGGG	60		
CCCGGCCAAC	CAGCGTCCGC	CGAGTCTTCG	GGCCCGGCC	CACTGGGCGG	GAGTTACCGC	120		
CCAGTGGGCC	GGGCCGCCA	CTTCCCGGTA	TGGTAATTAA	AAACTTACAA	GAGGCCTTGT	180		
TCCGCTTCCC	GGTATGGTAA	TTAGAAACTC	ATTAATGGGC	GCCCCGGCC	GCCCTTCCCG	240		
CTTCCGGCAA	TTCCCGCGGC	CCTTAATGGG	CAACCCCGGT	ATTCCCCGCC	T	29:		

#### (2) INFORMATION FOR SEQ ID NO:6:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 150 base pairs

  - (B) TYPE: nucleic acid (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

TTTAAAGCGG TGGCGGCGG CAGCCCGGGC CCCCCGCCGA GACTAGCGAG TTAGACAGGC	60
AAGCACTACT CGCCTCTGCA CGCACATGCT TGCCTGTCAA ACTCTACCAC CCCGGCACGC	120
TCTCTGTCTC CATGGCCGC CGCCGCCCC	150

#### (2) INFORMATION FOR SEQ ID NO:7:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 503 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

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	(XI) S	EGOENCE DESC	EXIPTION: SI	EG ID MO:1:			
ATC	CGGCCC	CCGCCGCCCC	CGCCCCCCC	GGCCCACGGG	CGCCGTCCCA	ACCGCÁCAGT	60
CCCI	AGGTAAC	CTCCACGCCC	AACTCGGAAC	CCGCGGTCAG	GAGCGCCCC	GCGCCCCCC	120
CGCC	CCCCC	CCCCGCCGGT	GGCCCCCCC	CITCITCITC	<b>CCTCCTCCTC</b>	CGCCAGTGGC	180
TCC	CGTTCC	CGAGTCCGCG	TCCGACGACG	ACGATGACGA	CGACTGGCCG	GACAGCCCCC	240
CGCC	CCGAGTC	GGCGCCAGAG	GCCCGGCCCA	cccccccc	ccccccccc	CCGGCCCCC	300
ACC	CCCGGC	GTGGGCCCGG	GGGCGGGGC	TGACCCCTCC	CACCCCCCT	CGCGCCCTT	360
CCG	CTTCCG	CCGCGCCTCG	CCCTCCGCCT	GCGCGTCACC	GCGGAGCACC	TGGCGCGCCT	420
GCGC	CTGCGA	CGCGCGGGCG	GGGAGGGGC	GCCGGAGCCC	CCCGCGACCC	CCGCGACCCC	480
CGCG	ACCCCC	GCGACCCCCG	CGA			•	503

#### (2) INFORMATION FOR SEQ ID NO:8:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 368 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

### (ii) MOLECULE TYPE: DNA (genomic)

#### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

CCCCGCGAC	CCCCGCGCGG	GTGCGCTTCT	CGCCCCACGT	CCGGGTGCGC	CACCTGGTGG	60
TCTGGGCCTC	GGCCGCCCGC	CTGGCGCGCC	GCGGCTCGTG	GGCCCGCGAG	CGGGCCGACC	120
GGGCTCGGTT	CCGGCGCCGG	GTGGCGGAGG	CCGAGGCGGT	CATCGGGCCG	TGCCTGGGGC	180
CCGAGGCCCG	TGCCCGGGCC	CTGGCCCGCG	GAGCCGGCCC	GGCGAACTCG'	GTCTAACGTT	240
ACACCCGAGG	CGGCCTGGGT	CTTCCGCGGA	GCTCCCGGGA	GCTCCGCACC	AAGCCGCTCT	300
CCGGAGAGAC	GATGGCAGGA	GCCGCGCATA	TATACGCTTG	GAGCCAGCCC	GCCCTCACAG	360
GGGGGGCC						368

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(2) INFORMATION FOR SEQ ID NO:9:		
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: '187 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	<b>;</b>	·
(ii) MOLECULE TYPE: DNA (genomic	<b>:)</b>	
(xi) SEQUENCE DESCRIPTION: SEQ 1	ID NO:9:	
GGGCGGGACT GGCCAATCGG CGGCCGCCAG CGC	GGCGGGG CCCGGCCAAC	CAGCGTCCGC 60
CGAGTCTTCG GGGCCCGGCC CATTGGGCGG GAG	TTACCGC CCAATGGGCC	GGGCCGCCCA 120
CTTCCCGGTA TGGTAATTAA AAACTTGCAA GAG	GCCTTGT TCCGCTTCCC	GGTATGGTAA 180
TTAGAAACTC ATTAATGGGC GGCCCCGGCC GCC	CTTCCCG CTTCCGGCAA	TTCCCGCGGC 240
CCTTAATGGG CAACCCCGGT ATTCCCCGCC T		271
(2) INFORMATION FOR SEQ ID NO:10:		
(i) SEQUENCE CHARACTERISTICS:     (A) LENGTH: 11 base pairs     (B) TYPE: nucleic acid     (C) STRANDEDNESS: single     (D) TOPOLOGY: linear		
(ii) MOLECULE TYPE: DNA (genomic	:)	·
(xi) SEQUENCE DESCRIPTION: SEQ I	D NO:10:	
TTTAAAGTCA C	·	11

### (2) INFORMATION FOR SEQ ID NO:11:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 256 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear

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(ii)	MOLECULE	TYPE:	DNA	(gen	mic)
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AGCGGCGGGC	AGCCCCCCG	CGGCCGAGAC	TAGCGAGTTA	GACAGGCAAG	CACTACTCGC	60
CTCTGCACGC	ACATGCTTGC	CTGTCAAACT	CTACCACCCC	GGCACGCTCT	CTGTCTCCAT	120
GCCCCCCC	cecceccec	ATCGCGGCCC	CCCCCCCCC	CGGCCGCCG	GGCCCACGGG	180
CGCGGTCCCA	ACCGCACAGT	CCCAGGTAAC	CTCCACGCCC	AACTCGGAAC	CCGTGGTCAG	240
GAGCGCGCCC	GCGGCC					256

#### (2) INFORMATION FOR SEQ ID NO:12:

#### (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 154 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

#### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

				0000000000	<b>Manage</b> 20000	
GGTGGGCCCC	CGCCTTCTTG	TTCGCTGCTG	CIGCGCCAGT	GGCTCCACGT	TCCCGAGTCC	60
	1001001001	067 067 0866	00003.03.000	000000000	600660600	
GCGTCCGACG	ACGACGATGA	CGACGACTGG	CCGGACAGCC	CCCCGCCCGA	GCCGGCGA	120
63.00000000			CCCC			184
GAGGCCCGGC	CUACCECCEC	CGCCCCCCCC				154

#### (2) INFORMATION FOR SEQ ID NO:13:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 212 base pairs

  - (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

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(xi) 5	EQUENCE	DESCRIPTION:	SEQ	ID	NO:13	:
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ACCGCCCGGC	eceeccce	eccecce	TAACCCCTCC	CACCCCCCT	CACGCCCCTT	60
CCGCCTTCCG	CCGCCCTCG	CCCTCCGCCT	GCGCGTCACC	GCGGAGCACC	TGGCGCGCCT	120
GCGCCTGCGA	cececeece	GGGAGGGGC	GCCGAAGCCC	CCCGCGACCC	CCGCGACCCC	180
CCCCACCCC	GCGACCCCG	CGACCCCCGC	GA			212

#### (2) INFORMATION FOR SEQ ID NO:14:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 356 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

CCCCGCGAC	CCCCGCGCGG	GTGCGCTTCT	CGCCCACGT	CCGGGTGCGC	CACCTGGTGG	60
TCTGGGCCTC	GCCGCCCGC	CTGGCGCGCC	GCGGCTCGTG	GCCCCCCGAG	CGGGCCGACC	120
GGGCTCGGTT	cceccccc	GTGGCGGAGG	CCGAGGCGGT	CATCGGGCCG	TGCCTGGGGC	180
CCGAGGCCCG	TGCCCGGGCC	CTGGCCCGCG	GAGCCGGCCC	GGCGAACTCG	GTCTAACGTT	240
ACACCCGAGG	CGGCCTGGGT	CTTCCGCGGA	GCTCCCGGGA	GCTCCACACC	AAGCCGCTCT	300
CCGGAGAGAC	GATGGCAGGA	GCCGCGCATA	TATACGCTGG	GAGCCGGCCC	GCCCCC	356

#### (2) INFORMATION FOR SEQ ID NO:15:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 207 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:	
GAGGCGGGCC CGCCCTCGGA GGGCGGGACT GGCCAATCGG CGCCGCCAG CGCGGGGGG	60
CCCGGCCAAC CAGCGTCCGC CGAGTCCTCG GGGCCCGGCC CACTGGGCGG TAACTCCCGC	120
CCAGTGGGCC GGGCCGCCA CTTCCCGGTA TGGTAATTAA AAACTTGCAA GAGGCCTTGT	180
TCCGCTTCCC GGTATGGTAA TTAGAAACTC ATTAATGGGC GGCCCCGGCC GCCCTTCCCG	240
CTTCCGGCAA TTCCCGCGGC CCTTAATGGG CAACCCCGGT ATTCCCCGCC T	291
(2) INFORMATION FOR SEQ ID NO:16:  (i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 10 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear  (ii) MOLECULE TYPE: DNA (genomic)  (xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:	10
(2) INFORMATION FOR SEQ ID NO:17:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 431 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: DNA (genomic)	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:	

CTCTGCACGC ACATGCTTGC CTGTCAAACT CTACCACCCC GGCACGCTCT CTGTCTCCAT

GGCCGCCGC CGCCGCCCC ATCGCGGCCC CCGCCGCCCC CGGCCGCCCG GGCCCACGGG

CGCGGTCCCA A	CCGCACAGT	CCCAGGTAAC	CTCCACGCCC	AACTCGGAAC	CCGTGGTCAG .	240
GAGCGCGCCC G	CGGCCGCCC	CCCCCCCCC	CCCCCCCGT	GGGCCCCGC	CTTCTTGTTC	300
CCTCCTCCTC C	GCAGTGGC	TCCAGGTTCC	GGAGTCCGCG	TCCGACGACG	ACGATGACGA	360
CGACTGGCCG G	ACAGCCCCC	CGCCCGAGCC	GGCGCCAGAG	GCCCGGCCCA	CCGCCGCCGC	420
cccccccc c						431

## (2) INFORMATION FOR SEQ ID NO:18:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 212 base pairs
    (B) TYPE: nucleic acid
    (C) STRANDEDNESS: single
    (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:

ACCGCCCGGC	GCGGGCCCAG	GGGGGGGGC	TGACCCCTCC	CACCCCCCT	CACGCCCCTT	60
					TGGCGCGCCT	120
					CCGCGACCCC	180
	GCGACCCCG					212

## (2) INFORMATION FOR SEQ ID NO:19:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 356 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

ACACCCGAGG CGGCCTGGGT CTTCCGCGGA GCTCCACACC AAGCCGCTCT

CCGGAGAGAC GATGGCAGGA GCCGCGCATA TATACGCTGG GAGCCGGCCC GCCCCC

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- 1	5	4	

(xi) S	EQUENCE DES	CRIPTION: SI	EQ ID NO:19:	:		
CCCCCGCGAC	CCCCGCGCGG	'GTGCGCTTCT	CGCCCACGT	CCGGGTGCGC	CACCTGGTGG	60
TCTGGGCCTC	GCCCCCCC	CTGGCGCGCC	CCCCTCCTC	GCCCGCGAG	CGGGCCGACC	120
GGGCTCGGTT	CCGGCGCCGG	GTGGCGGAGG	CCGAGGCGGT	CATCGGGCCG	TGCCTGGGCC	180
CCAAGGCCCG	CCCCCGCCC	CTGGCCCGCG	GAGCCGGCCC	GGCGAACTCG	GTCTAACGTT	240

300

356

#### (2) INFORMATION FOR SEQ ID NO:20:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 207 base pairs

  - (B) TYPE: nucleic acid (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

#### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:

GAGGCGGCC	CGCCCTCGGA	GGGCGGGACT	GGCCAATCGG	CGGCCGCCAG	CCCGCCGGG	60
CCCGGCCAAC	CAGCGTCCGC	CGAGTCGTCG	GGCCCGGCC	CACTGGGCGG	TAACTCCCGC	120
CCAGTGGGCC	GGGCCGCCCA	CTTCCCGGTA	TGGTAATTAA	AAACTTGCAA	GAGGCCTTGT	180
TCCGCTTCCC	GGTATGGTAA	TTAGAAACTC	ATTAATGGGC	GGCCCCGGCC	GCCCTTCCCG	240
CTTCCGGCAA	TTCCCGCGGC	CCTTAATGGG	CAACCCCGGT	ATTCCCCGCC	T	291

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58

	•	0,	
(2)	INFORMATION FOR SEQ ID NO:21:		
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: '20 base pairs  (B) TYPE: nucl ic acid  (C) STRANDEDNESS: singl  (D) TOPOLOGY: linear		
	(ii) MOLECULE TYPE: DNA (genomic	=)	
	(xi) SEQUENCE DESCRIPTION: SEQ 1	ID NO:21:	
GTA	ACCTAGA CTAGTCTAGC		2
(2)	INFORMATION FOR SEQ ID NO:22:	· ·	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 20 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear		
	(ii) MOLECULE TYPE: DNA (genomic	c)	
	(xi) SEQUENCE DESCRIPTION: SEQ	ID NO:22:	
GAT	CTGATCA GATCGCATTG		2
(2)	INFORMATION FOR SEQ ID NO:23:		
	(i) SEQUENCE CHARACTERISTICS:    (A) LENGTH: 58 base pairs    (B) TYPE: nucleic acid    (C) STRANDEDNESS: single    (D) TOPOLOGY: linear		
	(ii) MOLECULE TYPE: DNA (genomic	<b>c)</b>	
		TD W0.00.	
	(xi) SEQUENCE DESCRIPTION: SEQ	LU NU:23:	

CCCGGACATG GAACGAGTAC GACGACGCAG CCGACGCCC CGGCGACCGG GCCCCGGG

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(2) I	NFORMATION	FOR	SEQ	ID	NO:	24	
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- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 51 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:24: CTGCTCATGC TGCTGCGTCG GCTGCGGCGG CCGCTGGCCC GGGGCCCGTA C
- (2) INFORMATION FOR SEQ ID NO:25:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 6 amino acids

    - (B) TYPE: amino acid (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: peptide
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:

Met Ala Arg Arg Arg Arg

- (2) INFORMATION FOR SEQ ID NO:26:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 258 amino acids

    - (B) TYPE: amino acid (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:

His Arg Gly Pro Arg Arg Pro Arg Pro Pro Gly Pro Thr Gly Ala Val 1 5' 10 15

Pro Thr Ala Gln Ser Gln Val Thr Ser Thr Pr Asn Ser Glu Pro Ala 20 25 30

Val Arg Ser Ala Pro Ala Ala Ala Pro Pro Pro Pro Pro Ala Ser Gly 35 40 45

Pro Pro Pro Ser Cys Ser Leu Leu Leu Arg Gln Trp Leu His Val Pro 50 55 60

Ala Glu Ser Ala Ser Asp Asp Asp Asp Asp Asp Trp Pro Asp Ser 65 70 75 80

Pro Pro Pro Glu Pro Ala Pro Glu Ala Arg Pro Thr Ala Ala Ala Pro 85 90 95

Arg Pro Arg Ser Pro Pro Pro Gly Ala Gly Pro Gly Gly Gly Ala Asn 100 105 110

Pro Ser His Pro Pro Ser Arg Pro Phe Arg Leu Pro Pro Arg Leu Ala 115 120 125

Leu Arg Leu Arg Val Thr Ala Glu His Leu Ala Arg Leu Arg Leu Arg 130 135 140

Arg Ala Gly Glu Gly Ala Pro Glu Pro Pro Ala Thr Pro Ala Thr 145 150 155 160

Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro 165 170 175

Ala Thr Pro Ala Thr Pro Ala Thr Pro Ala Arg Val Arg Phe Ser Pro 180 185 190

His Val Arg Val Arg His Leu Val Val Trp Ala Ser Ala Ala Arg Leu 195 200 205

Ala Arg Arg Gly Ser Trp Ala Arg Glu Arg Ala Asp Arg Ala Arg Phe 210 215 220

Arg Arg Arg Val Ala Glu Ala Glu Ala Val Ile Gly Pro Cys Leu Gly 225 230 235 240

Pro Glu Ala Arg Ala Arg Ala Leu Ala Arg Gly Ala Gly Pro Ala Asn 245 250 . 255

Ser Val

#### (2) INFORMATION FOR SEQ ID NO:27:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: '6 amino acids

  - (B) TYPE: amino acid (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:

Met Ala Arg Arg Arg Arg

#### (2) INFORMATION FOR SEQ ID NO:28:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 169 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:28:

His Arg Gly Pro Arg Arg Pro Arg Pro Pro Gly Pro Thr Gly Ala Val

Pro Thr Ala Gln Ser Gln Val Thr Ser Thr Pro Asn Ser Glu Pro Ala 20

Val Arg Ser Ala Pro Ala Ala Ala Pro Pro Pro Pro Ala Gly Gly

Pro Pro Pro Ser Cys Ser Leu Leu Leu Arg Gln Trp Leu His Val Pr 50

Glu Ser Ala Ser Asp Asp Asp Asp Asp Asp Trp Pro Asp Ser Pr

Pro Pro Glu Ser Ala Pro Glu Ala Arg Pro Thr Ala Ala Ala Pro Arg 90 85

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Pro Pro Gly Pr His Arg Pro Ala Trp Ala Arg Gly Ala Gly Leu Thr 100 105

Thr Pro Pro Arg Ala Pro Ser Ala Ph Arg Arg Ala Ser Pro Pr 115

Ser Ala Cys Ala Ser Pro Arg Ser Thr Trp Arg Ala Cys Ala Cys Asp

Ala Arg Ala Gly Arg Gly Arg Arg Ser Pro Pro Arg Pro Pro Arg Pro

Pro Arg Pro Pro Arg Pro Pro Arg Pro 165

#### (2) INFORMATION FOR SEQ ID NO:29:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 180 amino acids

  - (B) TYPE: amino acid (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:29:

Pro Arg Gly Cys Ala Ser Arg Pro Thr Ser Gly Cys Ala Thr Trp Trp

Ser Gly Pro Arg Pro Pro Ala Trp Arg Ala Ala Ala Arg Gly Pro Ala

Ser Gly Pro Thr Gly Leu Gly Ser Gly Ala Gly Trp Arg Arg Pro Arg

Arg Ser Ser Gly Arg Ala Trp Gly Pro Arg Pro Val Pro Gly Pro Trp

Pro Ala Glu Pro Ala Arg Arg Thr Arg Ser Asn Val Thr Pro Glu Ala

Ala Trp Val Phe Arg Gly Ala Pro Gly Ser Ser Ala Pro Ser Arg S r

Pro Glu Arg Arg Trp Gln Glu Pro Arg Ile Tyr Thr Leu Gly Ala Ser 100 105 110

72

Pro Pro Ser Gln Gly Gly Pro Pr Arg Gly Arg Asp Trp Pro Il Gly 115 ' 120 125

Gly Arg Gln Arg Gly Gly Ala Arg Pro Thr Ser Val Arg Arg Val Phe
130 135 140

Gly Ala Arg Pro Ile Gly Arg Glu Leu Pro Pro Asn Gly Pro Gly Arg 145 150 155 160

Pro Leu Pro Gly Met Val Ile Lys Asn Leu Gln Glu Ala Leu Phe Arg 165 170 175

Phe Pro Val Trp 180

#### (2) INFORMATION FOR SEQ ID NO:30:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 46 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:30:

Met Ala Arg Arg Arg Arg His Arg Gly Pro Arg Arg Pro Arg Pro 1 10 15

Pro Gly Pro Thr Gly Ala Val Pro Thr Ala Gln Ser Gln Val Thr Ser 20 25 30

Thr Pro Asn Ser Glu Pro Val Val Arg Ser Ala Pro Ala Ala 35 40 45

#### (2) INFORMATION FOR SEQ ID NO:31:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 126 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:31:
- Gly Gly Pro Pro Pro Ser Cys Ser Leu Leu Leu Arg Gln Trp Leu His 1 15
- Val Pro Glu Ser Ala Ser Asp Asp Asp Asp Asp Asp Asp Trp Pro Asp 20 25 30
- Ser Pro Pro Pro Glu Pro Ala Pro Glu Ala Arg Pro Thr Ala Ala Ala 35
- Pro Arg Pro Arg Ser Pro Pro Pro Gly Ala Gly Pro Gly Gly Ala 50 60
- Asn Pro Ser His Pro Pro Ser Arg Pro Phe Arg Leu Pro Pro Arg Leu 65 70 75 80
- Ala Leu Arg Leu Arg Val Thr Ala Glu His Leu Ala Arg Leu Arg Leu 85 90 95
- Arg Arg Ala Gly Glu Gly Ala Pro Lys Pro Pro Ala Thr Pro Ala 100 105 110
- Thr Pro Ala Thr Pro Ala Thr Pro Ala Thr Pro 115 120 125
- (2) INFORMATION FOR SEQ ID NO:32:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 73 amino acids
    - (B) TYPE: amino acid
    - (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: peptide
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:32:
  - Ala Arg Val Arg Phe Ser Pro His Val Arg Val Arg His Leu Val Val 1 5 10 15
  - Trp Ala Ser Ala Ala Arg Leu Ala Arg Gly Ser Trp Ala Arg Glu 20 25 30

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Arg Ala Asp Arg Ala Arg Phe Arg Arg Val Ala Glu Ala Glu Ala 35 40 45

Val Ile Gly Pro Cys Leu Gly Pro Glu Ala Arg Ala Arg Ala Leu Ala 50 55 60

Arg Gly Ala Gly Pro Ala Asn Ser Val 65 70

## (2) INFORMATION FOR SEQ ID NO:33:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 179 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (X1) SEQUENCE DESCRIPTION: SEQ ID NO:33:

Met Ala Arg Arg Arg Arg His Arg Gly Pro Arg Pro Arg Pro 1 1 15

Pro Gly Pro Thr Gly Ala Val Pro Thr Ala Gln Ser Gln Val Thr Ser 20 25 30

Thr Pro Asn Ser Glu Pro Val Val Arg Ser Ala Pro Ala Ala Ala Pro 35 40 45

Pro Pro Pro Pro Ala Gly Gly Pro Pro Pro Ser Cys Ser Leu Leu Leu 50 55 60

Arg Gln Trp Leu Gln Val Pro Glu Ser Ala Ser Asp Asp Asp Asp 65 70 75 80

Asp Asp Trp Pro Asp Ser Pro Pro Pro Glu Pro Ala Pro Glu Ala Arg 85 90 95

Pro Thr Ala Ala Ala Pro Arg Pro Arg Ser Pro Pro Pro Gly Ala Gly 100 105 110

Pro Gly Gly Ala Asp Pro Ser His Pro Pro Ser Arg Pro Phe Arg 115 120 125

75

Leu Pro Pro Arg Leu Ala Leu Arg Leu Arg Val Thr Ala Glu His L u
130 140

Ala Arg Leu Arg Leu Arg Arg Ala Gly Gly Glu Gly Ala Pro Glu Pro 145 150 155 160

Pro Ala Thr Pro 165 170 175

Ala Thr Pro

## (2) INFORMATION FOR SEQ ID NO:34:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 73 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:34:

Ala Arg Val Arg Phe Ser Pro His Val Arg Val Arg His Leu Val Val 1 5 10 15

Trp Ala Ser Ala Ala Arg Leu Ala Arg Arg Gly Ser Trp Ala Arg Glu 20 25 30

Arg Ala Asp Arg Ala Arg Phe Arg Arg Val Ala Glu Ala Glu Ala 35 40 45

Val Ile Gly Pro Cys Leu Gly Lys Glu Ala Arg Ala Arg Ala Leu Ala 50 55 60

Arg Gly Ala Gly Pro Ala Asn Ser Val

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#### (2) INFORMATION FOR SEQ ID NO:35:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: '18 amino acids

  - (B) TYPE: amino acid (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:35:

Met Asp Glu Tyr Asp Asp Ala Ala Asp Ala Ala Gly Asp Arg Ala Pr 10

Gly Met

5

#### WHAT IS CLAIMED IS:

- 1. A method of preventing or treating programmed cell death in neuronal cells, the method comprising:
  - (a) preparing a non-pathogenic vector comprising th  $\gamma_1$ 34.5 gene; and
  - (b) introducing the non-pathogenic vector into neuronal cells undergoing or likely to undergo programmed cell death.
- 2. The method of claim 1 wherein the vector

  10 comprises an HSV-1 or HSV-2 virus altered in such a way as
  to render it non-pathogenic.
  - 3. The method of claim 2 wherein said altermation comprises deletion of the ICP4 gene, the  $\alpha4$  gene, or the  $\alpha0$  gene of the HSV-1 or HSV-2 viral genome.
- 15 4. The method of claim 2 wherein said alteration comprises a mutational lesion of the ICP4 gene, the  $\alpha4$  gene, or the  $\alpha0$  gene of the HSV-1 or HSV-2 viral genome.
- 5. The method of claim 1 wherein said vector comprises a retrovirus altered, a vaccinia virus, a picornavirus, a coronavirus, a eunyavirus, a togavirus, or a rhabdovirus in such a way as to render it non-pathogenic.
  - 6. The method of claim 1 wherein the vector comprises a multipotent neural cell line.
- 7. The method of claim 1 further comprising
  introducing the vector into the neuronal cells undergoing or likely to undergo programmed cell death by a process comprising transplanting cells of the multipotent neural cell line of claim 6 into a region of the central nervous system in which said neuronal cells undergoing or likely to undergo programmed cell death are located.

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- 8. The meth d of claim 1 further comprising introducing th v ctor into neuronal cells f an animal by injection of the vector at the site of the peripheral nerve endings of the neuronal cells undergoing or likely to undergo cell death.
  - 9. The method of claim 1 further comprising introducing the vector into neuronal cells in culture likely to undergo or undergoing cell death by incubation of the vector with the neuronal cells.
- 10. A viral vector comprising the HSV-1 or HSV-2 virus having a genomic alteration rendering the viral vector non-pathogenic.

- The viral vector of claim 10 wherein said genomic alteration comprises deletion of the ICP4 gene, the α4
   gene, or the α0 gene of the HSV-1 or HSV-2 genome.
  - 12. The viral vector of claim 10 wherein said genomic alteration comprises a mutational lesion of the ICP4 gene, the a4 gene, or the a0 gene of the HSV-1 or HSV-2 genome.
- 13. A viral vector comprising a non-pathogenic 20 retrovirus, vacinnia virus, picornavirus, coronavirus, eunyavirus, togavirus, or rhabdovirus.
  - 14. A method of preventing or treating programmed cell death in neuronal cells, the method comprising:
- (a) preparing ICP34.5 or a biological functional
  25 equivalent thereof;
  - (b) combining the ICP34.5 or the biological functional equivalent with a pharmac utically acceptabl carrier to form a pharmac utical composition; and

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- (c) administering the c mposition to neurons likely to undergo or undergoing pr grammed c ll death.
- 15. The pharmaceutical composition of claim 14, said pharmaceutical composition comprising ICP34.5 or a biological functional equivalent thereof in a pharmaceutically acceptable carrier.
- 16. The method of claim 14 wherein ICP34.5 or its biological functional equivalent is prepared by the method comprising:
- (a) preparing a nucleic acid segment capable of encoding ICP34.5 or a biological functional equivalent; and
  - (b) expressing the segment to produce the ICP34.5 or biological functional equivalent protein.
- 17. The method of claim 16 wherein the segment is transferred into a host cell and the host cell is cultured under conditions suitable for expression of the segment.
  - 18. The method of claim 17 wherein the nucleic acid segment is transferred by transfection or transformation of a recombinant vector into the host cell.
    - 19. The method of claim 17 further comprising isolating and purifying the protein.
- 20. The method of claim 14 wherein the composition is administered to an animal by direct, intrathecal or
   25 intravenous injection, or by oral administration.
  - 21. The method of claim 14 wherein the composition is administered to neuronal cells in culture by incubati n of

said cells in a medium comprising the composition such that the protein will enter the clls.

- 22. A method for determining the ability of a candidate substance to protect cells from programmed cell death, said method comprising:
  - (a) preparing a neuronal cell line sensitive to programmed cell death;
  - (b) combining the cells of said cell line with the candidate protective substance;
- (c) altering the incubation solution such that the cells are exposed to conditions or substances capable of inducing programmed cell death; and
  - (d) determining whether the candidate substance has protected the cells from programmed cell death.
- 23. The method of claim 22 wherein the candidate substance is a putative biological functional equivalent of ICP34.5.
- 24. A method for determining the ability of a candidate substance to potentiate the protective function of ICP34.5 or biological functional equivalents thereof, said method comprising:
  - (a) preparing a neuronal cell line sensitive to programmed cell death;
- (b) combining the cells of said cell line with the candidate potentiating substance;
  - (c) adding to th incubation medium ICP34.5 or a biological functional quivalent ther of;

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(d) alt ring the incubation solution such that the cells ar exposed t conditi ns r substances capabl f inducing programm d c ll death; and

- (e) determining whether the candidate substance has potentiated the protective effects of ICP34.5 or the biological functional equivalent.
  - 25. A method for determining the ability of a candidate substance to act as an inhibitor of either  $\gamma_1 34.5$  expression or activity of ICP34.5 or biological functional equivalents thereof, said method comprising:
    - (a) preparing a neuronal cell line sensitive to programmed cell death;
    - (b) combining the cells of said cell line with the candidate inhibitory substance;
- (c) adding to the incubation medium ICP34.5 or a biological functional equivalent thereof;

- (d) altering the incubation solution such that the cells are exposed to conditions or substances capable of inducing programmed cell death; and
- (e) determining whether the candidate substance has inhibited the protective effect of ICP34.5 or the biological functional equivalent.
  - 26. A method of delivering a gene for gene therapy, the method comprising:
- 25 (a) combining the gene for gene therapy with any one of the vectors of claims 10, 11, 12 or 13;

- (b) combining the gene and v ctor with a pharmacologically acceptable carrier to form a pharmaceutical composition; and
- (c) administering said pharmaceutical composition so that the gene and vector will reach the intended cell targets.
  - 27. The method of claim 26 wherein said pharmaceutical composition is introduced by injection into an animal at the site of said cell targets.
- 28. The method of claim 26 wherein said cell targets are in the central nervous system and the pharmaceutical composition is introduced by injection into an animal at the site of the peripheral nerve ending which originate from neurons located at the site of said cell targets.
- 29. The method of claim 25 wherein said pharmaceutical composition is introduced by intrathecal or intravenous injection.
- 30. The pharmaceutical composition of claim 26 comprising the gene and vector combined with a pharmacologically acceptable carrier.
  - 31. A method of treating tumorogenic diseases or viral infections, the method comprising:
    - (a) preparing a candidate substance of claim 25;
- (b) combining the candidate substance with a pharmaceutically acceptable carrier to form a pharmaceutical composition; and
  - (c) administering the composition to tumor c ll targets.

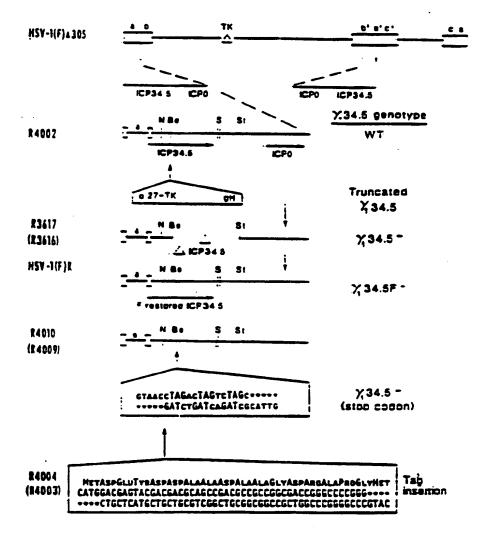
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- 32. The method f claim 31 wherein the pharmac utical comp siti n is administer d by injection dir ctly into the site of the cell targets, by intravenous injection, by intraspinal injection, or orally.
- 5 33. The pharmaceutical composition of claim 31 comprising the candidate substance combined with a pharmaceutically acceptable carrier.

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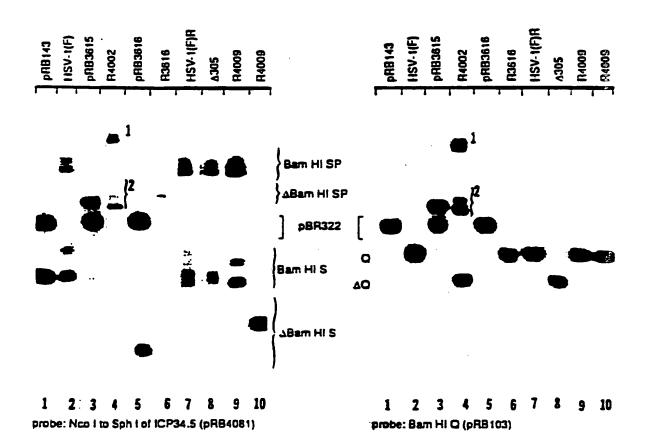
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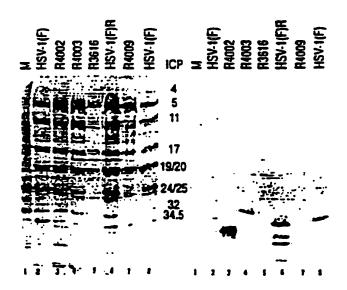


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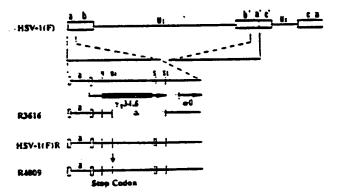
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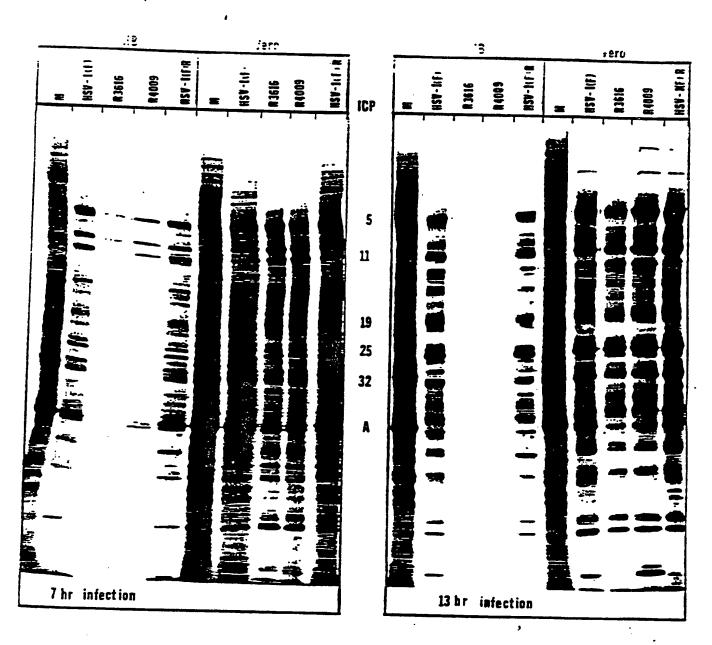


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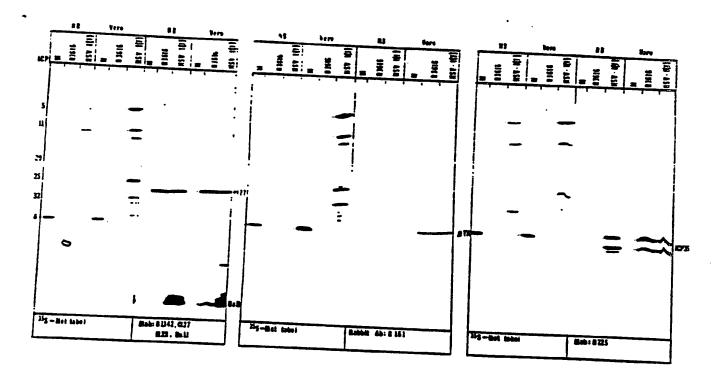


Fig 5 Close + Longren

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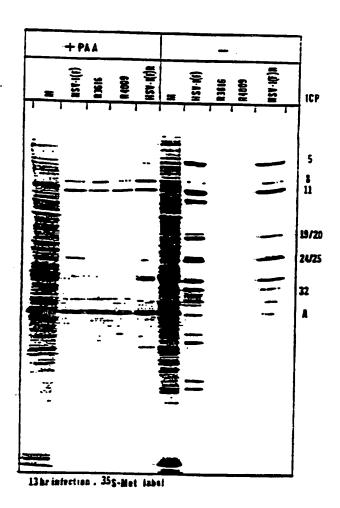


Fig 4, Chou + Poisur

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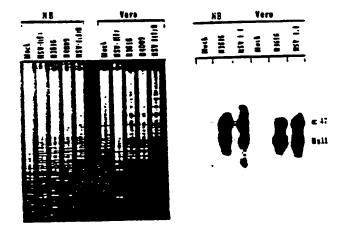


Fig. Then then -

### INTERNATIONAL SEARCH REPORT

International application N .
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IPC(5)	ASSIFICATION OF SUBJECT MATTER				
US CL	:A01K 63/00; A61K 37/00, 31/70; A01N 37/18; :424/93B; 435/29, 320.1; 514/2, 44				
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C. DOC	CUMENTS CONSIDERED TO BE RELEVANT	_	· · · · · · · · · · · · · · · · · · ·		
Category*	Citation of document, with indication, where			Relevant to claim No.	
Y	Cell, Volume 65, issued 15 December 1991, S. Henderson, 1-33 "Induction of bcl-2 Expression by Epstein-Barr Virus Latent Membrane Protein 1 Protects Infected B Cells from Programmed Cell Death", pages 1107-1115, see entire document.				
Y	Cell, Volume 67, issued 29 November 1991, A. Strasser et al, "bcl-2 Transgene Inhibits T Cell Death and Perturbs Thymic Self-Censorship", 889-899, see entire document.				
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X Furthe	er documents are listed in the continuation of Box	C. See patent fi	mily annex.		
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	ment which may throw doubts on priority claim(s) or which is to establish the publication date of enotion classics or other al reason (as specified)	when the documen	t is taken alogo	al to anvelve as inventive step	
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Vashington, I	D.C. 20231 NOT APPLICABLE	DEBORAH CROUC		My Sint July	
	/210 (second sheet)(July 1992)*	Telephone No. (703):	08-0196 /	<i>f</i> -	

## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/01801

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C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the rele	Relevant to claim No.	
Y	Cell, Vol. 67, issued 29 November 1991, C.L. Sentmander 1991, C.L.	1-33	
Y	Nature, Vol. 349, issued 14 February 1991, C. D. Grand "Activation of Epstein-Barr Virus Latent Genes Protect Cells From Death by Apoptosis", pages 612-614, see adocument.	1-33	
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